

TA7  
W34  
no.  
HL-91-10  
rept. 4  
c. 3

Corps  
Engineers

TECHNICAL REPORT HL-91-10

# RED RIVER WATERWAY, LOCK AND DAM NO. 3

## Report 4

### STILLING BASIN AND RIPRAP REQUIREMENTS

#### Spillway Hydraulic Model Study

by

Stephen T. Maynard

Hydraulics Laboratory

DEPARTMENT OF THE ARMY

Waterways Experiment Station, Corps of Engineers  
3909 Halls Ferry Road, Vicksburg, Mississippi 39180-6199

**US-CE-C** PROPERTY OF THE  
UNITED STATES GOVERNMENT



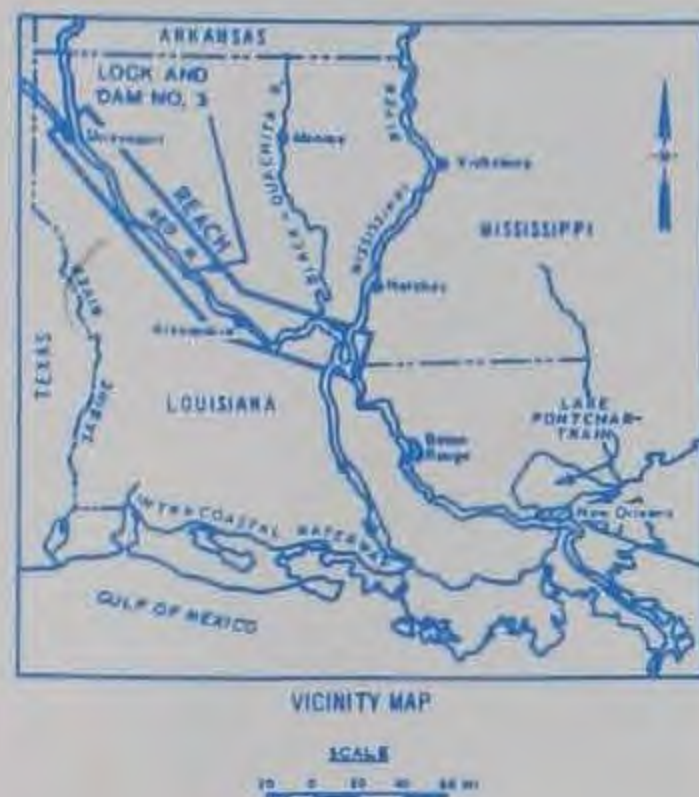
June 1991

Report 4 of a Series

Approved For Public Release; Distribution Unlimited

RESEARCH LIBRARY  
US ARMY ENGINEER WATERWAYS  
EXPERIMENT STATION  
VICKSBURG, MISSISSIPPI

Prepared for US Army Engineer District, Vicksburg  
Vicksburg, Mississippi 39181-0060





23994727

TAY  
W34  
no. ITH-91-10rpt. 4  
C.3

REPORT DOCUMENTATION PAGE			Form Approved OMB No. 0704-0188	
Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503.				
1. AGENCY USE ONLY (Leave blank)	2. REPORT DATE June 1991	3. REPORT TYPE AND DATES COVERED Report 4 of a series		
4. TITLE AND SUBTITLE Red River Waterway, Lock and Dam No. 3; Report 4, Stilling Basin and Riprap Requirements; Spillway Hydraulic Model Study		5. FUNDING NUMBERS		
6. AUTHOR(S)  Stephen T. Maynord				
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) USAE Waterways Experiment Station, Hydraulics Laboratory, 3909 Halls Ferry Road, Vicksburg, MS 39180-6199		8. PERFORMING ORGANIZATION REPORT NUMBER  Technical Report HL-91-10		
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)  USAED, Vicksburg, PO Box 60, Vicksburg, MS 39181-0060		10. SPONSORING/MONITORING AGENCY REPORT NUMBER		
11. SUPPLEMENTARY NOTES Available from National Technical Information Service, 5285 Port Royal Road, Springfield, VA 22161.				
12a. DISTRIBUTION/AVAILABILITY STATEMENT  Approved for public release; distribution unlimited.			12b. DISTRIBUTION CODE	
13. ABSTRACT (Maximum 200 words)  Tests were conducted on a 1:50-scale model of Lock and Dam No. 3, Red River Waterway, to develop a satisfactory energy dissipator and a stable riprap plan. The spillway consists of a 315-ft-long overflow weir and six 42-ft-high by 60-ft-wide tainter gates. The 84-ft-wide by 785-ft-long lock will be located on the left riverbank looking downstream and will have a maximum lift of 31 ft. The recommended stilling basin provides adequate energy dissipation for normal flows and for a single gate fully opened with minimum tailwater. Stable riprap plans were developed for the upstream and downstream areas adjacent to the structure for both normal flows and for single gate conditions. Pressures measured on the spillway crest were sufficiently high to prevent cavitation problems on the downstream face of the crest. Water-surface profiles were measured for a wide range of conditions and are compared to computed spillway rating curves.				
14. SUBJECT TERMS Hydraulic models      Spillways Navigation dams      Stilling basins Riprap			15. NUMBER OF PAGES 55	
			16. PRICE CODE	
17. SECURITY CLASSIFICATION OF REPORT UNCLASSIFIED	18. SECURITY CLASSIFICATION OF THIS PAGE UNCLASSIFIED	19. SECURITY CLASSIFICATION OF ABSTRACT	20. LIMITATION OF ABSTRACT	



## PREFACE

The model investigation reported herein was authorized by the Headquarters, US Army Corps of Engineers (HQUSACE), and the US Army Engineer Division, Lower Mississippi Valley (LMVD), at the request of the US Army Engineer District, Vicksburg (LMK). The study was conducted by personnel of the Hydraulics Laboratory (HL), US Army Engineer Waterways Experiment Station (WES), during the period January 1984 to September 1987 under the general supervision of Messrs. H. B. Simmons and F. A. Herrmann, Jr., former and present Chiefs, HL, respectively, and J. L. Grace, Jr., and G. A. Pickering, former and present Chiefs of the Hydraulic Structures Division (HSD), HL. The model tests were conducted by Messrs. J. V. Markussen and R. Bryant and Dr. S. T. Maynard under the supervision of Mr. N. R. Oswalt, Chief of the Spillways and Channels Branch, HSD. The model was constructed by the Model Shop, Mr. S. J. Leist, Chief, Engineering and Construction Services Division, WES. This report was prepared by Dr. Maynard and edited by Mrs. Marsha Gay, Information Technology Laboratory, WES.

During the course of the investigation, Mr. Bruce McCartney, HQUSACE; Mr. Larry Cook, LMVD; and Messrs. Phil Combs, Nolan Raphael, David Biedenharn, Charles Shelton, and Rick Robertson, LMK, visited WES to observe model testing and discuss test results.

COL Larry B. Fulton, EN, was the Commander and Director of WES. Dr. Robert W. Whalin was the Technical Director.



# TABLE OF CONTENTS

	<u>Page</u>
PREFACE.....	1
CONVERSION FACTORS, NON-SI TO SI (METRIC) UNITS OF MEASUREMENT.....	3
PART I: INTRODUCTION.....	5
Location of Project.....	5
Pertinent Project Features.....	5
Purpose of Model Investigation.....	6
PART II: THE MODEL.....	7
Description.....	7
Scale Relations.....	7
PART III: TESTS AND RESULTS.....	10
Crest Pressures.....	10
Stilling Basin and Riprap Design.....	10
Approach Channel.....	16
Flow Distribution Through Upstream Ported Guard Wall.....	16
Hydropower Test.....	19
Discharge Calibration and Water-Surface Profiles.....	19
PART IV: DISCUSSION OF RESULTS AND CONCLUSIONS.....	22
REFERENCES.....	23
TABLES 1-2	
PLATES 1-26	



CONVERSION FACTORS, NON-SI TO SI (METRIC)  
UNITS OF MEASUREMENT

Non-SI units of measurement used in this report can be converted to SI (metric) units as follows:

<u>Multiply</u>	<u>By</u>	<u>To Obtain</u>
cubic feet	0.02831685	cubic metres
feet	0.3048	metres
inches	2.54	centimetres
miles (US statute)	1.609347	kilometres
pounds (mass)	0.4535924	kilograms
pounds (mass) per cubic foot	16.01846	kilograms per cubic metre
square feet	0.09290304	square metres



VICINITY MAP

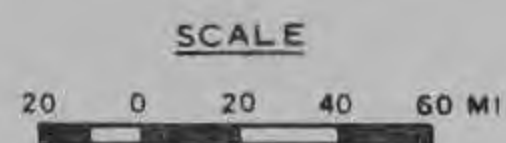


Figure 1. Vicinity map



RED RIVER WATERWAY, LOCK AND DAM NO. 3  
STILLING BASIN AND RIPRAP REQUIREMENTS

Spillway Hydraulic Model Study

PART I: INTRODUCTION

Location of Project

1. The Red River Waterway Project consists of four distinct reaches: (a) Mississippi River to Shreveport, LA; (b) Shreveport, LA, to Daingerfield, TX; (c) Shreveport, LA, to Index, AR; and (d) Index, AR, to Denison Dam, TX. Only the first reach (Figure 1) is pertinent to this report. Within the first reach, the plan provides for establishing a navigable channel approximately 236 miles\* long and 9 ft deep by 200 ft wide from the Mississippi River to Shreveport via the Old and Red Rivers and constructing a system of five locks and dams. Lock and Dam No. 3 will be located 38 miles upstream of Alexandria, LA, at 1967 river mile 141. The 1967 river mileage is based on preproject conditions. The location of the project is shown in Figure 1.

Pertinent Project Features

2. The principal structures associated with Lock and Dam No. 3 will consist of a navigation lock, a gated spillway, concrete abutment walls, and an overflow weir, with an optional hydropower facility. The lock, with nominal chamber dimensions of 84 by 785 ft, pintle to pintle, and usable chamber dimensions of 84 ft wide and 685 ft long, will be on the left river-bank looking downstream. The lift will vary up to a maximum of 31 ft.

3. The navigation dam will contain six 42-ft-high by 60-ft-wide tainter gates mounted between 9-ft-wide piers. The gate sill will be at el 55.0.\*\* The tops of the gates, when closed, will be at el 97.0, which will provide a 2-ft freeboard above the normal upper pool elevation of 95.0. The net width

---

\* A table of factors for converting non-SI units of measurement to SI (metric) units is presented on page 3.

\*\* All elevations (el) cited herein are in feet referred to the National Geodetic Vertical Datum (NGVD).



of the spillway is 360 ft, and the gross width of the abutments from face to face is 405 ft. Plate 1 shows the original (type 1) design spillway and stilling basin portion of the dam. The hydropower facility may be added to Lock and Dam No. 3 after construction of the lock and dam.

4. The postproject tailwater rating curve is shown in Plate 2. All references to normal tailwater are based on this curve. All references to minimum tailwater are based on a tailwater elevation of 64.0 at the downstream end of the model (sta 25+00).

#### Purpose of Model Investigation

5. Hydraulic model tests were conducted to assist in the development of satisfactory stilling basin designs and riprap protection plans for the conditions of one gate one-half and fully open when subject to normal pool and minimum tailwater elevations. The model provided a means for checking discharge characteristics of the spillway. Tests were conducted to develop a stable riprap plan for the downstream sediment dikes. These dikes were added to the project after sedimentation problems occurred in the lower lock approach of the Red River Lock and Dam No. 1 prototype.



## PART II: THE MODEL

### Description

6. The investigation was conducted in a 1:50-scale model which reproduced the gated spillway, the navigation lock, upstream guard wall, downstream guide wall, and overflow weir, as shown in Figure 2. A 1,400-ft length of upstream and a 2,650-ft length of downstream topography were reproduced. The approach area was molded in pea gravel. The spillway weir, tainter gates, gate piers, lock, and overflow weir were fabricated of sheet metal. The stilling basin and its elements were of wood treated with a waterproofing compound to prevent expansion. Initially, the downstream area was molded in pea gravel to sheet metal templates, but this area was replaced with a blanket of crushed limestone to permit study and development of the plan of riprap protection required. The 1:50-scale model reproduced all pertinent topography within the channel. Only a portion of the overbanks adjacent to the channel was reproduced in the model. Large discharges with significant overbank flow could not be accurately simulated in this model.

7. Discharges were measured with venturi meters, and water-surface elevations were measured with point gages. Sand and riprap scour depths were measured with point gages, and velocities were measured with a pitot tube or propeller meter. Steel rails set to grade along the sides of the flume provided a reference plane for measuring devices. Tailwater elevations were regulated by a flap gate at the downstream end of the flume.

### Scale Relations

8. The accepted equations of hydraulic similitude, based on the Froudian criteria, were used to express mathematical relations between the dimensions and hydraulic quantities of the model and prototype. General relations for the transfer of model data to prototype equivalents are shown in the following tabulation.

9. Model measurements of discharge, water-surface elevation, and velocities can be transferred quantitatively to prototype equivalents by means of these scale relations.





a. Approach currents



b. Exit currents

Figure 2. Full-gate operation of gate 4, pool el 95, tailwater el 67, 14 sec (prototype)



<u>Characteristic</u>	<u>Dimension*</u>	<u>Scale Relation Model:Prototype</u>
Length	L	$L_r = 1:50$
Area	$L^2$	$A_r = L_r^2 = 1:2,500$
Velocity	$LT^{-1}$	$V_r = L_r^{1/2} = 1:7.07$
Discharge	$L^3 T^{-1}$	$Q_r = L_r^{5/2} = 1:17,678$
Force or weight	$MLT^{-2}$	$F_r = L_r^3 = 1:125,000$

---

\* Dimensions are in terms of length L , time T , and mass M .



### PART III: TESTS AND RESULTS

10. The upper pool elevation, number of gates, stilling basin geometry, downstream sediment exclusion dikes, and hydropower options of Lock and Dam No. 3 have been modified. This report does not document every change made to the structure, but provides the information required to document performance of the recommended plan. Every plan that is presented in this report has the following common features:

- a. Six gates with spillway crest shown in Plate 1
- b. Normal upper pool el 95
- c. Minimum lower pool el 64
- d. Unless stated otherwise, headwater (HW) and tailwater (TW) elevations were measured at sta 12+00 upstream and sta 25+00 downstream, respectively

Many of the changes from the original design to the recommended design were not a result of findings in this spillway model. Studies were being conducted concurrently with this study in the sedimentation and the navigation models, and results from these studies brought about significant changes in the spillway model (Report 3 in this series (O'Neal, in preparation), and Report 2 in this series (Wooley, in preparation)).

#### Crest Pressures

11. Crest pressures were measured with the original (type 1) design (Plate 1) for half-opened and fully opened gates and pool elevations of 95 and 97. Results are shown in Plates 3-6. Pressures were sufficiently high to prevent cavitation problems on the downstream face of the crest.

#### Stilling Basin and Riprap Design

12. The following guidelines for stilling basin design are set forth in Engineer Manual (EM) 1110-2-1605, "Hydraulic Design of Navigation Dams" (Headquarters, US Army Corps of Engineers (HQUSACE), 1987):

- a. Uniform discharge through all spillway gates for a range of headwaters and tailwaters expected during project life.
- b. Single gate fully opened with normal headwater and minimum



tailwater. This condition would assume gate misoperation or marine accident. Minor damage to the downstream scour protection may occur as long as the integrity of the structure is not jeopardized. Single gate fully opened with above-normal pool (perhaps the 50- to 100-year pool) should also be given consideration. This condition would simulate loose barges that could block several gates causing above-normal pools as occurred at Arkansas River Lock and Dam No. 2 during December 1982.

- c. Single gate opened sufficiently wide to pass floating ice or drift at normal headwater and minimum tailwater. During preliminary design, a gate half opened can be assured to approximate ice- or drift-passing conditions. Final design usually requires model studies to determine the proper gate opening. No damage should occur for this condition. For most low-head navigation structures, conditions b and c result in free flow over the crest.

The Lock and Dam No. 3 project was designed to meet all three guidelines. With the exceptions of riprap gradations B and C of the US Army Engineer Division, Lower Mississippi Valley (LMVD), riprap gradations come from Table 5-3 of EM 1110-2-1605 or Engineer Technical Letter (ETL) 1110-2-120 (HQUSACE 1971). The size used in the model for each gradation is shown in Table 1. Model sizes were chosen to reproduce the lower or minimum gradation curves.

13. The type 1 (original design) stilling basin was tested with the type 1 riprap plan (Plate 1) with a single gate. Results were as follows:

Gate Opening	Upper Pool El	Tailwater El	Test Duration (Prototype Time, hr)	Results
Half*	95	64.0	28	Stable
Full	95	66.8	28	Failed
Full	95	72.0	28	Stable
Full	95	66.8	2	Rock movement but no failure
Full	93	66.0	28	Stable
Half*	97	64.0	28	Stable

\* 20 ft.

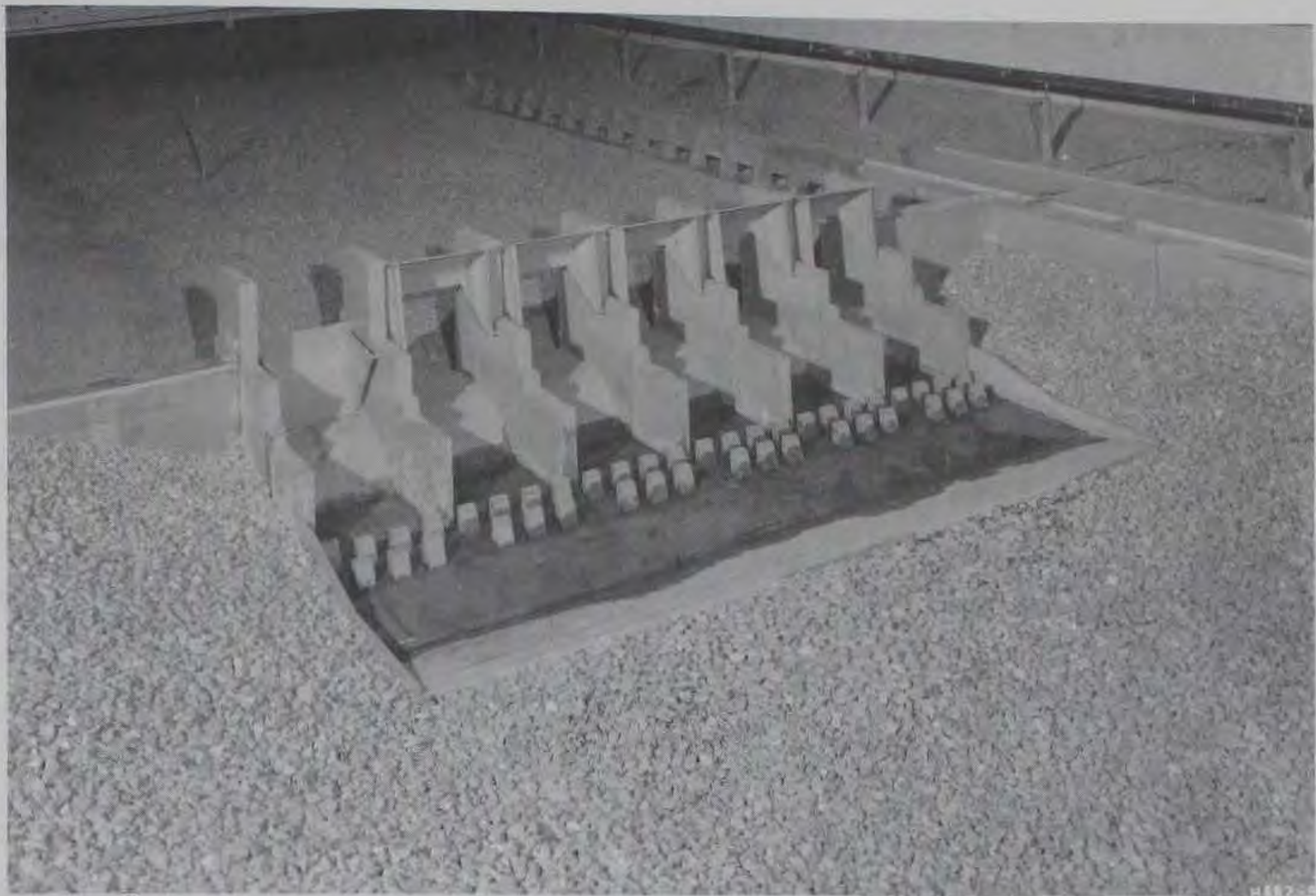
14. Since the type 1 stilling basin with the type 1 riprap design was not stable for extended runs of the single gate fully opened and minimum tailwater, modifications were required. Stilling basin modifications were necessary because the 81-in. riprap used in the type 1 design is the largest riprap that can reasonably be obtained. In the type 2 stilling basin (Plate 7), the basin apron elevation was lowered from el 31 to el 28 and the basin length was increased by 35 ft. The type 2 stilling basin with the type 2 riprap plan



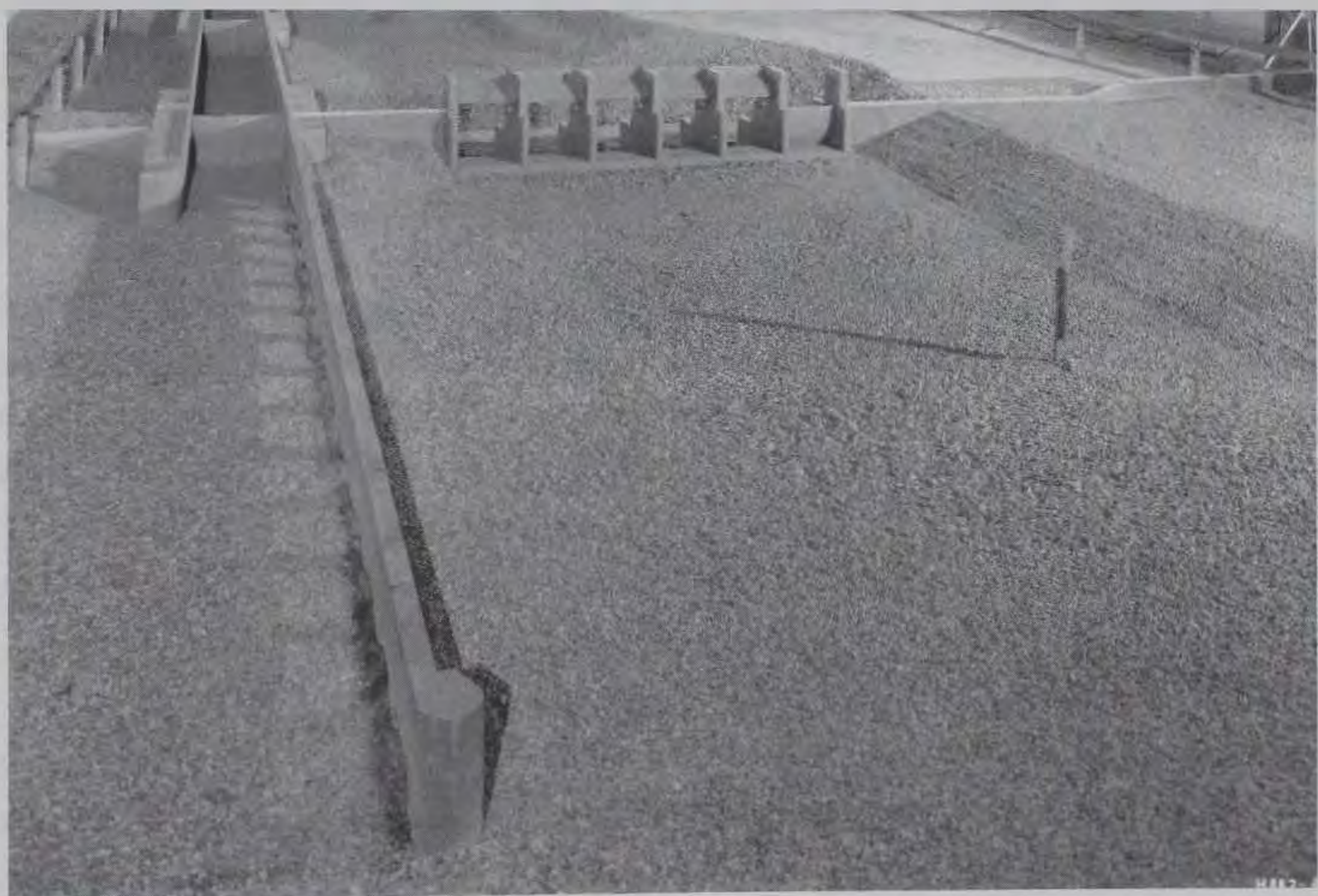
(Plate 8) remained stable for extended runs of the single gate fully opened at normal upper pool and minimum tailwater. The riprap plan was changed from type 1 to type 2 because the longer and lower type 2 stilling basin required that changes be made to the exit channel and the riprap. The type 2 stilling basin is the recommended design.

15. Results from the sedimentation model (O'Neal, in preparation) showed that the exit channel required significant modifications to prevent the sedimentation problems that occurred at the Red River Lock and Dam No. 1 prototype. The recommended plan showing the realigned right bank, the sediment dikes, the extended downstream guide wall, and the recommended type 3 riprap design are shown in Plate 9 and Figure 3. Also shown is the replacement of the three separate 1V on 25H longitudinal slopes in the type 2 riprap plan with a single 1V on 25H longitudinal slope beginning at the downstream end of the stilling basin. The type 3 riprap plan shown in Plate 9 was stable for all uniform gate openings for the range of headwaters and tailwaters expected during the project life. The riprap plan was also stable for a single gate one-half open, normal upper pool, and minimum tailwater. The riprap plan sustained minor damage for a single gate fully open, normal upper pool, and minimum tailwater; but the integrity of the structure was not jeopardized. This damage occurred at (a) the top and toe of the right bank dike; (b) upstream end of the midchannel dike, and (c) upstream ends of 54- and 36-in. riprap. Damage to the dikes occurred with any of the six gates open. Damage to the 54- and 36-in. riprap was significant only when either gates 5 or 6 (numbered left to right looking downstream) were open. The riprap gradations in the model were chosen to reproduce the lower or minimum gradation curve. For the 54- and 36-in. ripraps, this was not possible, and the gradation used in the model was lower than the lower limit of the prototype gradation. The  $d_{50}$  in the model was 1.69 and 0.98 ft for the 54- and 36-in. ripraps, respectively. The  $d_{50(\text{min})}$  in the prototype is 1.75 and 1.17 ft, respectively, for the 54- and 36-in. ripraps. The smaller size used in the model means that the minor failure observed in the model will be less significant in the prototype. Test duration was 32 hr (prototype) with tailwater maintained at the minimum or lower pool elevation of 64. In the prototype, conditions will be less severe because the tailwater will build up to a normal tailwater elevation of 76.3. At this tailwater, no damage occurred to the riprap in the model for the single gate fully open and normal upper pool. An intermediate tailwater





a. Type 2 stilling basin



b. Approach channel

Figure 3. Recommended plan (Continued)





c. Exit channel

Figure 3. (Concluded)



elevation of 71.0 was tested with the single gate fully open and normal upper pool. Minor damage occurred on the top of the right bank dike and the upstream end of the midchannel dike. A cover layer of larger stone such as the 48-in. stone used in the nose of the right bank dike should be considered for these areas.

16. Although the model demonstrated that the standard LMVD C stone placed downstream of sta 21+00 was stable, results may not be valid because the model rock was smaller than the size normally used in riprap stability investigations. To address this problem, velocities were measured in the exit channel for discharges of 100,000 and 150,000 cfs at normal tailwater and 48,600 cfs at minimum tailwater. Results are shown in Plates 10-12. Based on these velocities and recent riprap research results, the C stone would fail with the single gate fully open, normal upper pool, and minimum tailwater. The C stone is borderline for a discharge of 150,000 cfs and stable with a discharge of 100,000 cfs with normal tailwater. An equal blanket thickness of a well-graded stone such as the 18-in.-thick gradation given in Table 5-2 of EM 1110-2-1605 (HQUSACE 1987) would be stable for the normal tailwater flows but unstable for the single gate fully open and minimum tailwater flow. As discussed in paragraph 15, tailwater buildup in the prototype will cause the single-gate condition to be less severe than in the model where the tailwater was held constant.

17. Rock immediately downstream of the overflow weir (Plate 9) remained stable for all normal headwater and tailwater combinations. Tests were run to evaluate the effects of flow over the overflow weir only; all gates were closed and the tailwater was at el 64. The minimum discharge that can be measured in the model, 5,000 cfs, was passed across the overflow weir. After passing over the weir, the flow concentrated and moved toward the channel. As the flow passed down the 1V on 3H slope, rock in the 81-in.-thick riprap began moving. A portion of the flow stayed on the overbank and passed downstream of the riprap protection below the overflow weir. This flow also concentrated at the point of return to the channel (sta 2+50) and caused failure of the 81-in. riprap at the top of the bank. These tests were conducted with the type 1 design overflow weir having a crest width of 16 ft. Results are considered adequate for use with the 4-ft-wide crest in the recommended plan. Free flow over the overflow weir could be minimized by making the top of gates 0.5 to 1.0 ft lower than the overflow weir. Although large amounts of flow over the



gates should not be allowed, flow observed resulting from a head of approximately 0.5 ft caused no problems at one of the Arkansas River lock and dam projects.

18. The 36-in.-thick riprap placed adjacent to the upstream ported guard wall was stable for all normal flow conditions as well as the following hinged pool conditions: a 100,000-cfs discharge and a headwater el of 88; and a 120,000-cfs discharge and a headwater el of 89. These tests were run with the berm and inflow distribution described in paragraphs 20 and 22.

19. The 81-in.-thick riprap placed upstream of the structure remained stable for all normal flows as well as for the two hinged pool conditions described in the preceding paragraph. Smaller sizes were not tested because large riprap upstream of the structure reduces the damage that can occur when barges break loose and impinge on the gate piers.

20. A disposal area dike was placed in the model as shown in Plate 9. Under overbank flow conditions, a concentration of flow existed at the upstream corner of the dike. Velocities on the overbank adjacent to the dike were 5-6 fps. Riprap protection for the portion of the dike adjacent to the channel should be considered. The standard LMVD C stone should be stable based on the observed velocities.

#### Approach Channel

21. The type 1 approach channel bottom was at el 59, as shown in Plate 1. Results from the sedimentation model (O'Neal, in preparation) showed the need to raise the channel bottom elevation to el 64. In addition, a berm with top elevation at 73 was placed along the left descending bank just upstream of the ported guard wall. Both the berm and the el 64 bottom were used in evaluating the recommended plan shown in Plate 9.

#### Flow Distribution Through Upstream Ported Guard Wall

22. Tests were conducted to determine the flow distribution through the upstream ported guard wall. The upstream end of the guard wall was at sta 9+83 with the top of the parapet wall at el 106.5. These tests were conducted with the approach channel bottom at el 64 and with the original top and bottom port elevations of 72 and 59, respectively. (The top and bottom port elevations in the recommended plan were changed to 78 and 64, respectively, based on results from the navigation study (Wooley, in preparation).) The



flow distribution tests were conducted to address the following:

- a. Percent of total flow passing behind upstream guard walls  $Q_g$ , with and without the berm along the left descending bank.
- b. Percent of  $Q_g$  passing through each port of the upstream guard wall with and without berm.

23. Before  $Q_g$  could be determined, the upstream baffling in the 1:50-scale structures model had to be adjusted to reproduce the correct flow distribution with and without the berm. The navigation model (Wooley, in preparation) provided the velocity distribution for the design with the berm. The floats used to determine velocities in the 1:100-scale navigation model were submerged to a depth of 8 ft. Velocities were measured at a position 8 ft below the water surface in the 1:50-scale structures model. Due to uncertainty about the velocity represented by the floats in the navigation model and the short distance available for flow development in the structures model, the magnitude of the velocities were not similar and only the shapes of the lateral water velocity distributions were compared. The comparison at sta 12+00 with the berm is shown in Plate 13. No navigation model velocity distributions were available for the plan without the berm. Velocities without a berm based on a numerical model of John H. Overton Lock and Dam (Cope-land, in preparation) are compared to the flow distribution in the structures model for 145,000 cfs in Plate 14.

24. To determine  $Q_g$  and  $Q_r$  (flow in the main river channel) by means of the subject model, detailed velocity measurements were taken across the channel and discharge was computed using the corresponding area multiplied by the measured velocity. Results were as follows:

Berm	$Q$ (Inflow) cfs	$Q_g$ cfs	$Q_r$ cfs	$\frac{Q_g + Q_r}{Q \text{ (Inflow)}}$	$\frac{Q_g}{Q_r + Q_g}$	$\frac{Q_r}{Q_r + Q_g}$
With	90,000	13,600	75,300	0.988	0.15	0.85
	125,000	21,400	99,500	0.967	0.18	0.82
	145,000	22,600	120,000	0.983	0.16	0.84
Without	90,000	20,500	68,500	0.989	0.23	0.77
	145,000	31,800	112,100	0.992	0.22	0.78

25. To determine the percent of flow through each port of the upstream guard wall, velocity measurements were used; but accurate definition of the mean velocity and the effective flow area was difficult due to the velocity distribution across the port. Velocities within the individual port cross



section varied in magnitude and direction from top to bottom and from side to side. Dye injections at the upstream ports showed that flow through the port was highly skewed with respect to a vertical plane normal to the face of the guard wall. Dye injections at the downstream ports showed that flow through the port was almost normal to the face of the guard wall. This was due to the decreased approach velocity to the downstream ports. The effective area in the upstream ports was relatively small; the opposite was true in the downstream ports. Velocities were measured at el 65.5 (average of top and bottom elevations of port) for two locations within the main flow through the port as shown in Plate 15. The flow lines shown in Plate 15 are typical of the middle ports of the guard wall. Dye injections were used to define the correct location and angle of placement for the velocity probe at each port. Dye injections were also used to estimate the effective flow area. For the downstream ports, 90 percent of the gross port area was used for the effective area because of the relatively uniform distribution of flow through the ports. A linear decrease in port area was used for the upstream ports as shown in Plate 16. The amount of decrease was varied until continuity of the flow was satisfied. This resulted in an effective area of 40 percent of the gross area for the upstream port. This effective area was reasonable based on the dye injections. Results are shown as follows:

Port	Effective Port Area sq ft	Percent of $Q_g$	
		With Berm $Q = 125,000$ cfs	Without Berm $Q = 145,000$ cfs
1*	218	3.0	3.4
2	239	3.4	3.8
3	259	4.1	4.5
4	281	4.6	4.9
5	302	5.0	5.5
6	323	5.8	6.1
7	344	6.4	6.8
8	365	7.1	7.4
9	386	7.7	8.0
10	407	8.5	8.5
11	433	9.1	9.1
12	449	9.6	9.3
13	470	10.0	9.3
14	491	10.3	9.0
14.5**	246	5.4	4.4

\* Upstream.

\*\* Downstream.



### Hydropower Test

26. Limited testing of a three-unit powerhouse (Plate 17) was conducted in the 1:50-scale model. Water-surface profiles were compared with and without the three-unit powerhouse. The without-powerhouse plan used in the model is shown in Plate 8, which also shows the type 2 riprap plan. Both plans have a 315-ft-long overflow section at el 97.0. The water-surface profiles for each plan are shown in Plate 18 for a discharge of 248,600 cfs. The test program was stopped due to the need to modify the model to simulate the John H. Overton (JHO) Lock and Dam structure. Upon completion of the JHO tests, hydropower was no longer being considered at Lock and Dam No. 3, so the model testing of the three-unit powerhouse was not continued.

27. A 2-ft increase in the upper pool to el 97 is proposed for enhanced power generation if hydropower is ever added to the project. Testing was conducted in the recommended plan (Plate 9) to determine if this increase would significantly affect the stability of the type 3 riprap plan. Gates 1, 3, and 6 were tested fully open for 30 hr prototype with the following results:

<u>Gate</u>	<u>Upper Pool El</u>	<u>Tailwater El at Sta 25+00</u>	<u>Result</u>
1	97	69.0	No movement
3	97	69.0	No movement
6	97	69.0	Failure in 36-in. riprap but structure not threatened
1	97	64.0	Damage similar to that given
3	97	64.0	in paragraph 15 but greater
6	97	64.0	in extent. Failures were far enough downstream not to threaten structure

### Discharge Calibration and Water-Surface Profiles

28. Discharge calibration and water-surface profiles, unless stated otherwise, apply to the recommended plan shown in Plate 9. Water-surface profiles and headwater/tailwater relationships near the structure were affected by many factors including channel resistance. Channel resistance downstream of the structure was accurately modeled because the entire area was riprapped, which was correctly scaled in the model. However, on the upstream side of the



structure, the pea gravel in the model had a scaled Manning's  $n$  value of approximately 0.040 whereas the prototype channel has a Manning's  $n$  value of 0.025. Water-surface profile computations were used to evaluate the effects of the difference in  $n$  value on the headwater at sta 12+00. Results depended on discharge as follows:

<u>Q , cfs</u>	<u><math>\Delta H</math> at Sta 12+00, ft*</u>
50,000	0.04
100,000	0.16
150,000	0.40

---

\*  $\Delta H$  = computed difference  
between model and prototype  
headwaters using respective  
Manning's  $n$  values.

This analysis shows that the effects of differing model resistance on upstream water levels were relatively minor except at the highest discharges.

29. Water-surface profiles were obtained for a range of discharges and tailwaters as shown in Plates 19-24. Water levels upstream of the structure were measured only for discharges that resulted in water levels greater than the normal upper pool elevation of 95. Note the relatively steep water-surface slope in the vicinity of the structure. Water-surface rather than swellhead profiles are presented in this report because of the confusion surrounding the definition of swellhead.

30. Headwaters and tailwaters were measured for the combinations of gate openings and discharges shown in Table 2. The headwater at sta 12+00 was at el 95 at a discharge of 150,000 cfs with the normal tailwater. With all gates fully opened, flow began to go over the 315-ft overflow embankment at 175,000 cfs, which corresponds to an upper pool of el 98.3 at sta 12+00. Drawdown between the weir and sta 12+00 is the reason why the weir does not operate at a pool of el 97.

31. Two tests were conducted to determine the submergence of the gate lip at low gate openings. These tests were conducted for water quality purposes. Two- and four-foot gate openings were used with the following results:



HW EL at Sta 12+00	TW El at Sta 25+00	Gate Opening ft	Q , cfs	Gate Lip El	Water- Surface El at Gate	Submergence, ft	Normal TW El
95	64	A11 - 2	24,200	57.0	64.9	7.9	71.0
95	64	A11 - 4	44,000	59.0	69.7	10.7	75.1

---

Note that at normal tailwater the submergence would be about 6 ft greater.

32. A plot of rating curves showing the relationship between discharge, tailwater, and gate opening for an upper pool elevation of 95 is shown in Plate 25. The solid lines are the ratings determined using the procedures set forth in EM 1110-2-1605 (HQUSACE 1987). The EM procedure is based on tailwater near the structure. The data points shown in Plate 25 were taken from the physical model (Table 1) but had to be adjusted for the difference between the tailwater near the structure and the tailwater at sta 25+00. Tailwater differences were obtained from Plates 19-24 and are shown in Plate 26. The model data were also adjusted for the difference in headwater due to the larger roughness in the model (see paragraph 28 and Plate 26). Some extrapolation and interpolation were required to compare all data for a pool elevation of 95.0.



#### PART IV: DISCUSSION OF RESULTS AND CONCLUSIONS

33. Tests with the original design stilling basin showed that a longer and deeper basin was required to meet the stilling basin performance requirements established by the sponsor. The recommended type 2 stilling basin design provides satisfactory energy dissipation for normal flows and for a single gate fully opened with minimum tailwater.

34. Satisfactory riprap plans were developed for the upstream and downstream areas adjacent to the structure for both normal flows and the single-gate conditions. Stable riprap plans were also developed for the overflow weir, the upstream guard wall, and downstream exit channel. A 2-ft increase in upper pool elevation to increase power generation (if added to the project) resulted in minor riprap failure for the single gate fully opened and minimum tailwater condition, but the structure's integrity was not threatened.

35. Pressure measurements on the spillway crest were sufficiently high to prevent cavitation problems on the downstream face of the crest.

36. Flow distributions at the upstream ported guard wall were determined for the approach channel with and without a berm at el 73. The percent of total river flow passing behind the guard wall  $Q_g$  was about 17 and 23 with and without the berm, respectively.

37. Water-surface profiles demonstrate that the water-surface slope is locally steep near the structure due to the expansion and contraction effects of the structure and the losses caused by the various dikes and guard walls. For these reasons, headwater and tailwater were measured at the upstream and downstream ends of the model, away from the areas of locally steep water-surface slope. Water-surface profiles are presented in this report rather than swellhead because of the confusion surrounding the definition of swellhead.



## REFERENCES

Copeland, R. R. "Red River Waterway, John H. Overton Lock and Dam, Sedimentation in Lock Approaches; TABS-2 Numerical Model Investigation," Report 5 (in preparation), US Army Engineer Waterways Experiment Station, Vicksburg, MS.

Headquarters, US Army Corps of Engineers. 1971 (14 May). "Additional Guidance for Riprap Channel Protection," ETL 1110-2-120 (Change 1), US Government Printing Office, Washington, DC.

\_\_\_\_\_. 1987 (12 May). "Hydraulic Design of Navigation Dams," EM 1110-2-1605, US Government Printing Office, Washington, DC.

O'Neal, C. W. "Red River Waterway, Lock and Dam No. 3, Sedimentation Conditions; Hydraulic Model Investigation," Report 3 (in preparation), US Army Engineer Waterways Experiment Station, Vicksburg, MS.

Wooley, R. T. "Red River Waterway, Lock and Dam No. 3, Navigation Alignment Conditions; Hydraulic Model Investigation," Report 2 (in preparation), US Army Engineer Waterways Experiment Station, Vicksburg, MS



Table 1  
Rock Weights Used in Riprap Gradations

Thickness, in.	Prototype Weight, lb				Model Weight, lb
	Limit	Maximum	Minimum	Used in Model	
Standard Gradations*					
81	100	7,873	3,142	6,250	0.05000
	50	3,324	1,571	1,525	0.01220
	15	1,662	492	535	0.00428
54	100	2,333	933	781	0.00625
	50	984	467	417	0.00334
	15	492	146	112	0.00090
48	100	1,638	655	781	0.00625
	50	691	328	337	0.00270
	15	346	102	74	0.00059
36	100	691	276	330	0.00264
	50	292	138	82	0.00066
	15	146	43	16	0.00013
30	100	400	160	330	0.00264
	50	169	80	41	0.00033
	15	84	25	10	0.00008
24	100	205	82	41	0.00033
	50	86	41	16	0.00013
	15	43	13	7	0.00006
LMVD Gradations					
B	100	1,200	750	337	0.00270
	50	350	95	41	0.00033
	15	30	5	9	0.00007
C	100	400	250	41	0.00033
	50	100	18	16	0.00013
	15	5	<1	7	0.00006

\* Gradations given in ETL 1110-2-120 (HQUSACE 1971) or Table 5-3 of EM 1110-2-1605 (HQUSACE 1987). Specific weight = 165 pcf.



Table 2

## Headwater, Tailwater, Gate Openings, and Discharge Combinations

HW EL at Sta 12+00	HW*	TW El at Sta 25+00	TW El at Sta 2+00**	Gate Opening	Discharge cfs
84.6	84.5	81.7	83.2	All full	78,000
86.6	86.5	83.8	85.3	↓	90,000
89.9	89.7	86.7	88.3		110,000
92.9	92.6	89.7	91.3		130,000
95.3	94.9	92.5	94.1		150,000
101.5	†	98.4			200,000
105.9	††	103.0			250,000
95.0	††	75.7	77.1	Single full	48,000
95.0	↓	70.9	72.3	Single full	48,000
95.0		67.9	69.3	Single full	48,000
97.0		69.0	70.4	Single Full	52,500
95.0		64.0	65.0	All 2 ft	24,200
95.0		64.0	65.3	All 4 ft	44,000
96.1		76.5	77.9	All 5 ft	49,500
93.9		75.8	77.2	All 5 ft	47,000
96.4	96.3	82.3	83.8	All 10 ft	79,000
94.8	94.7	80.8	82.3	All 10 ft	73,200
95.9	95.7	85.2	86.7	All 15 ft	100,000
94.3	94.1	84.6	86.1	All 15 ft	95,000
95.8	95.6	87.5	89.1	All 20 ft	115,000
94.0	93.8	86.7	88.2	All 20 ft	110,000
96.2	95.9	89.8	91.4	All 25 ft	131,000
94.8	94.5	89.0	90.6	All 25 ft	125,000
95.8	95.5	91.0	92.6	All 30 ft	140,000
93.7	93.4	89.7	91.3	All 30 ft	130,000
88.7	††	64.0	64.8	1 (10 ft)	19,350
95.4	↓	64.0	64.9	1 (10 ft)	21,400
88.9		64.0	64.8	4 (10 ft)	19,350
94.3		64.0	64.9	4 (10 ft)	20,900
88.3		64.0	64.8	6 (10 ft)	19,350
94.3		64.0	64.9	6 (10 ft)	20,900
88.2		63.0	64.0	1 (15 ft)	25,000
92.8		63.0	64.0	1 (15 ft)	27,700
89.0		63.0	64.0	4 (15 ft)	25,000
94.4		63.0	64.0	4 (15 ft)	27,700
90.0		63.0	64.0	6 (15 ft)	25,400
94.4		63.2	64.2	6 (15 ft)	27,700
88.2		64.0	65.1	1 (20 ft)	29,200
93.7		63.0	64.1	1 (20 ft)	32,300
90.8		64.0	65.1	4 (20 ft)	29,200
93.7		65.0	66.1	4 (20 ft)	32,300
88.1		64.0	65.1	6 (20 ft)	29,200
93.6		65.0	66.1	6 (20 ft)	32,300

\* Headwater at sta 12+00 corrected for excess model roughness.

\*\* Based on Plate 26.

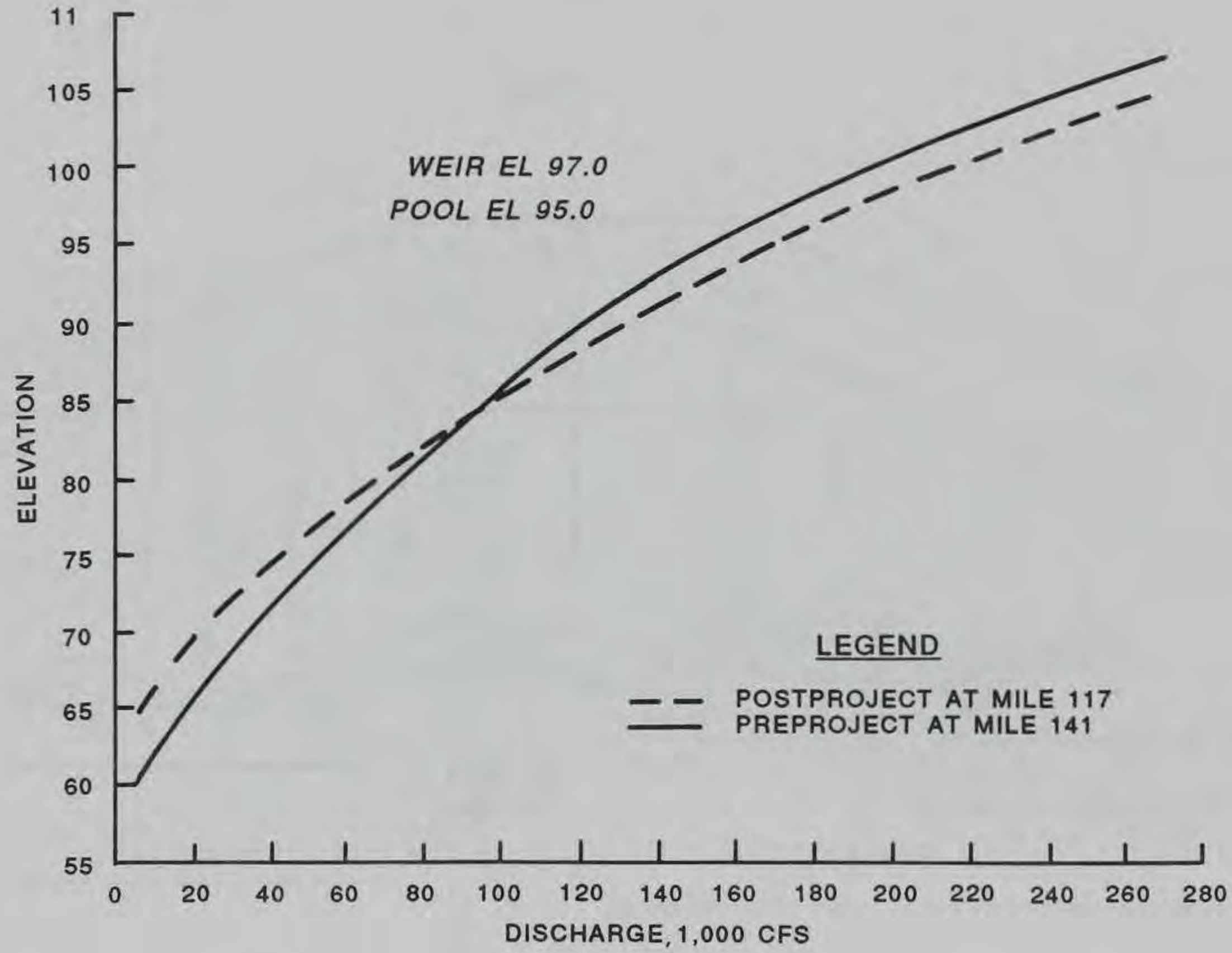
† Overbank areas not modelled; model roughness and tailwater effects unknown.

†† Negligible correction.



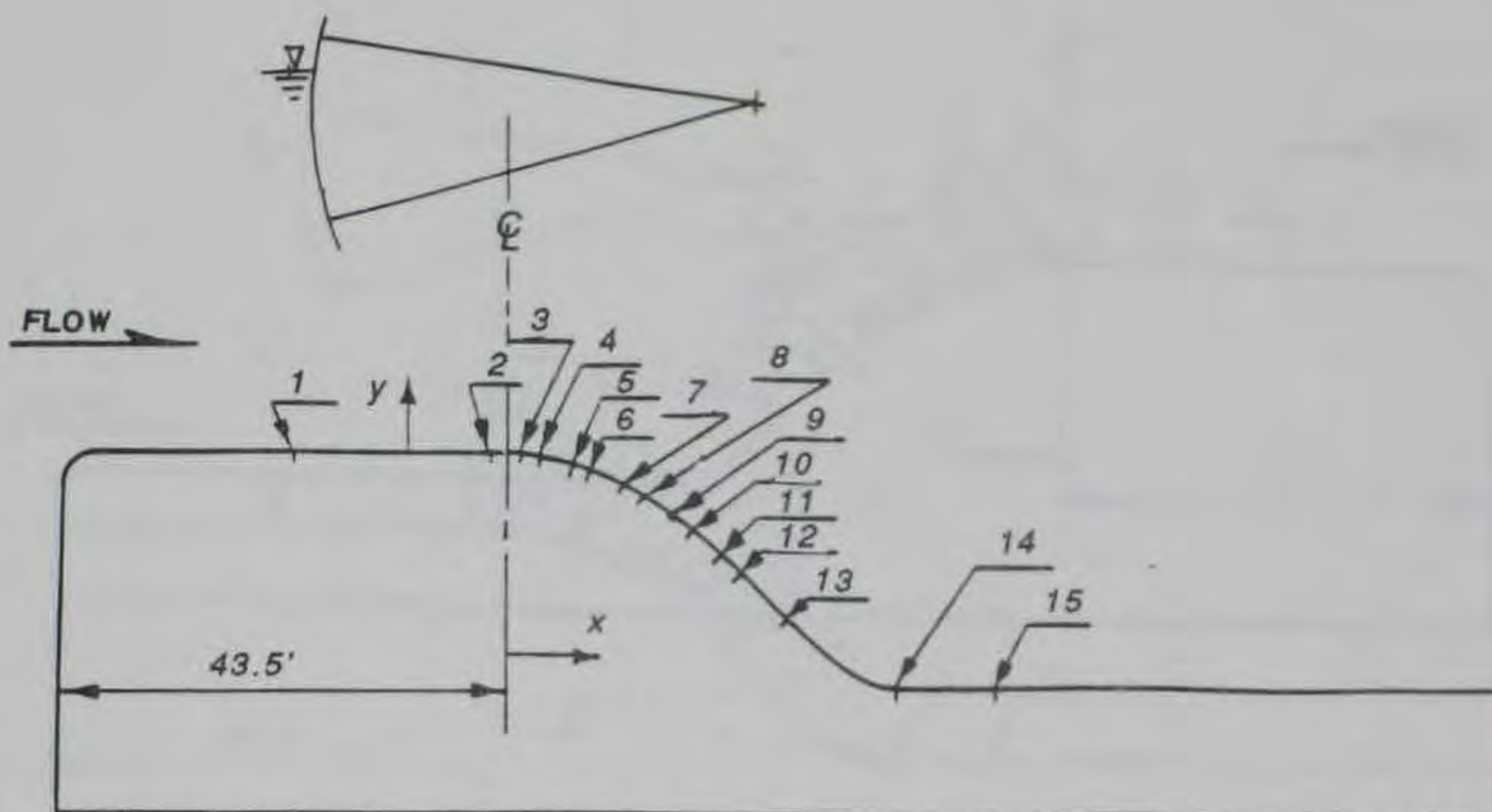






PREPROJECT AND POSTPROJECT  
TAILWATER RATING CURVES





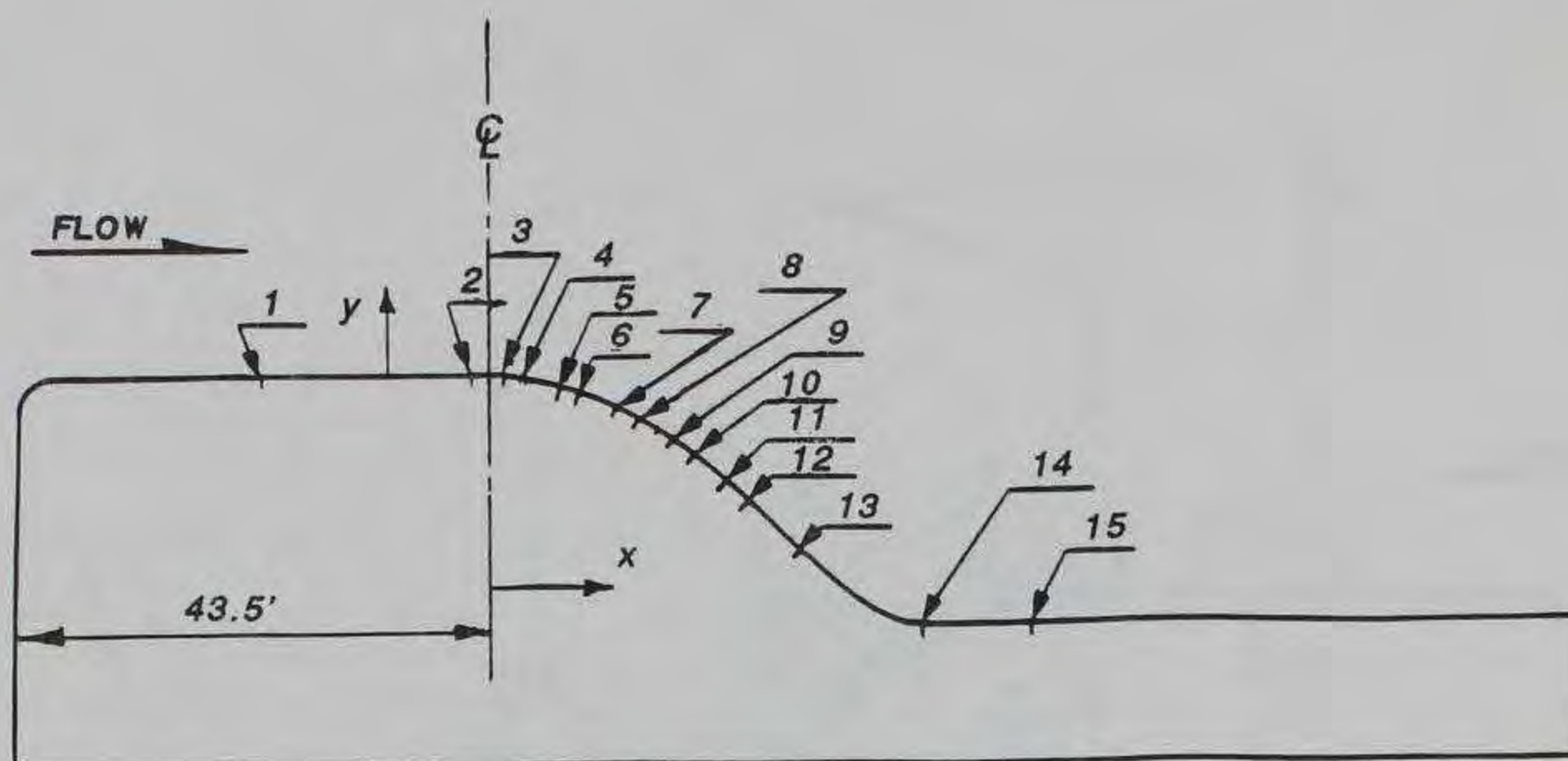
PIEZOMETER* NO.	EL	DISTANCE FROM CENTER LINE X, FT	PRESSURE FT OF WATER
1	55	-20.5	39.9
2	55	-1.5	3.5
3	54.8	1.5	-1.3
4	54.5	3.5	-5.4
5	53.7	6.5	-9.7
6	53.0	8.5	-8.1
7	51.6	11.5	-7.6
8	50.5	13.5	-6.1
9	48.5	16.5	-0.9
10	47.0	18.5	4.5
11	44.4	21.5	12.7
12	42.5	23.5	20.4
13	38.2	27.9	29.3
14	31.0	38.9	41.0
15	31.0	48.9	38.0

\* PIEZOMETERS LOCATED ALONG GATE CENTER LINE

NOTE: TAILWATER ELEVATION ESTABLISHED AT TAILGATE  
PIEZOMETERS 3-12 FOLLOW THE EQUATION  
 $X^2 = -50y$

SPILLWAY CREST PRESSURES  
TYPE 1 DESIGN SPILLWAY  
GATE NO. 6 ONE-HALF OPEN  
DISCHARGE 33,000 CFS  
POOL EL 95  
TAILWATER EL 64.0 (MIN)





PIEZOMETER*		DISTANCE FROM CENTER LINE X, FT	PRESSURE FT OF WATER
NO.	EL		
1	55	-20.5	26.3
2	55	-1.5	7.9
3	54.3	1.5	2.8
4	54.5	3.5	-1.3
5	53.7	6.5	-5.7
6	53.0	8.5	-4.5
7	51.6	11.5	-4.7
8	50.5	13.5	-2.8
9	48.5	16.5	2.7
10	47.0	18.5	8.1
11	44.4	21.5	15.6
12	42.5	23.5	23.5
13	38.2	27.9	31.5
14	31.0	38.9	46.0
15	31.0	48.9	40.0

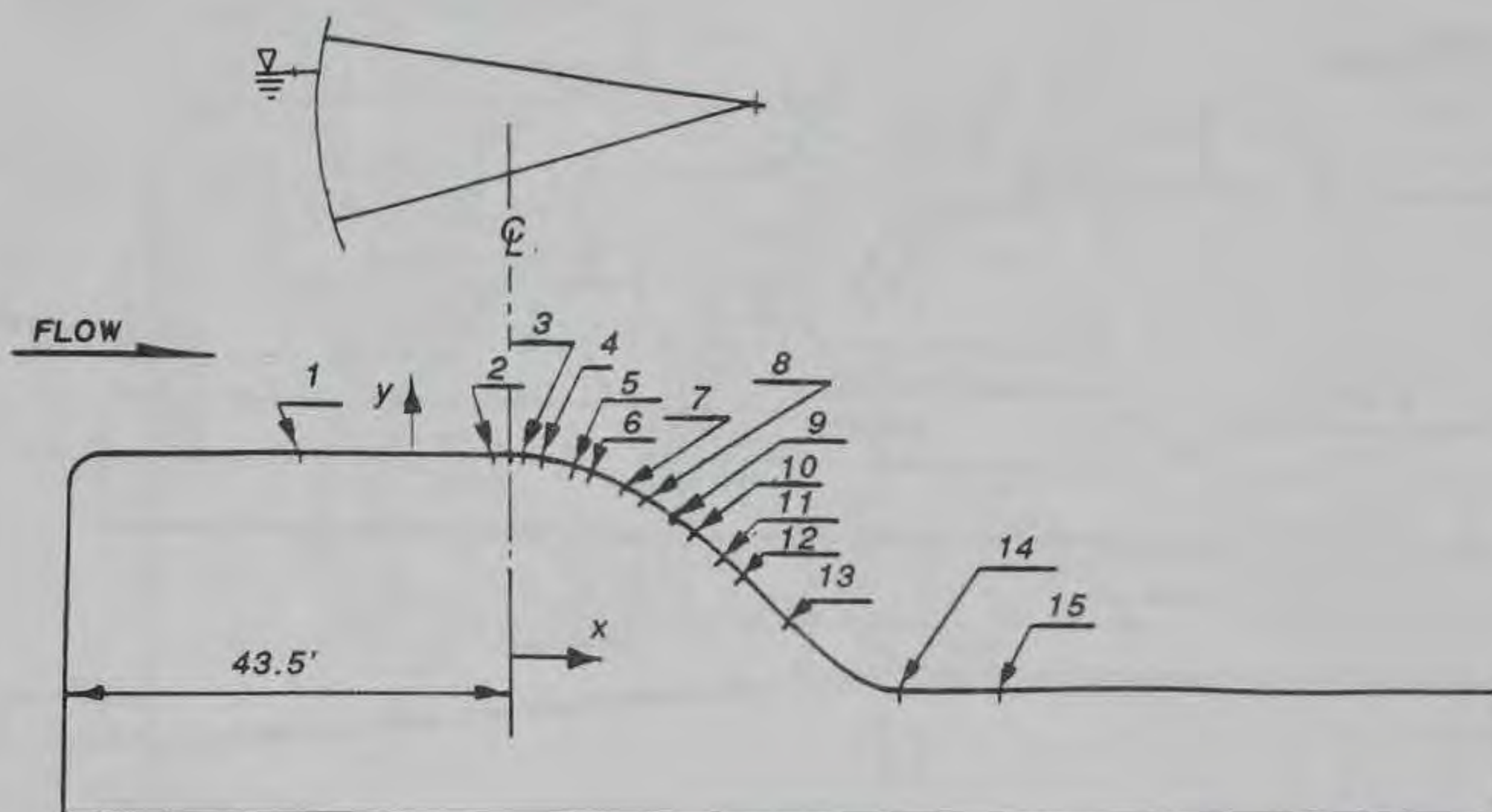
\* PIEZOMETERS LOCATED ALONG GATE CENTER LINE

NOTE: CHANNEL CONTROL IN MODEL  
PIEZOMETERS 3-12 FOLLOW EQUATION  
 $x^2 = -50y$

### SPILLWAY CREST PRESSURES TYPE 1 DESIGN SPILLWAY

GATE NO. 6 FULLY OPEN  
DISCHARGE 49,000 CFS  
POOL EL 95  
TAILWATER EL 66.8 (MIN)





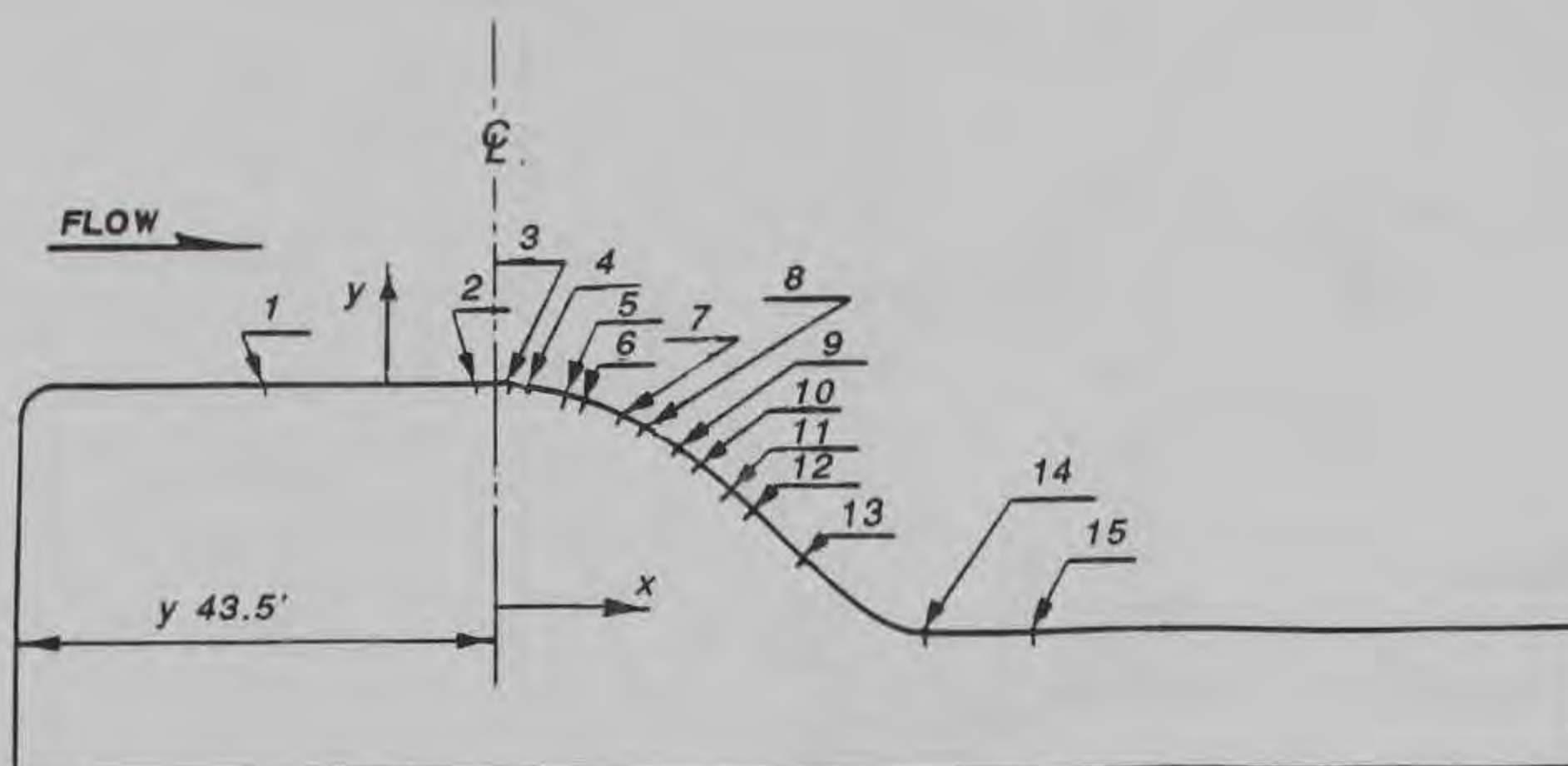
PIEZOMETER* NO.	EL	DISTANCE FROM CENTER LINE X, FT	PRESSURE FT OF WATER
1	55	-20.5	41.8
2	55	-1.5	0.60
3	54.8	1.5	-4.35
4	54.5	3.5	-8.90
5	53.7	6.5	-13.09
6	53.0	8.5	-12.15
7	51.6	11.5	-11.00
8	50.5	13.5	-9.85
9	48.5	16.5	-3.25
10	47.0	18.5	3.50
11	44.4	21.5	11.20
12	42.5	23.5	17.90
13	38.2	27.9	27.30
14	31.0	38.9	39.00
15	31.0	48.9	34.20

\* PIEZOMETERS LOCATED ALONG GATE CENTER LINE

NOTE: CHANNEL CONTROL IN MODEL  
PIEZOMETERS 3-12 FOLLOW THE EQUATION  
 $X^2 = -50y$

SPILLWAY CREST PRESSURES  
TYPE 1 DESIGN SPILLWAY  
GATE NO. 6 ONE-HALF OPEN  
DISCHARGE 34,500 CFS  
POOL EL 97  
TAILWATER EL 64.1 (MIN)





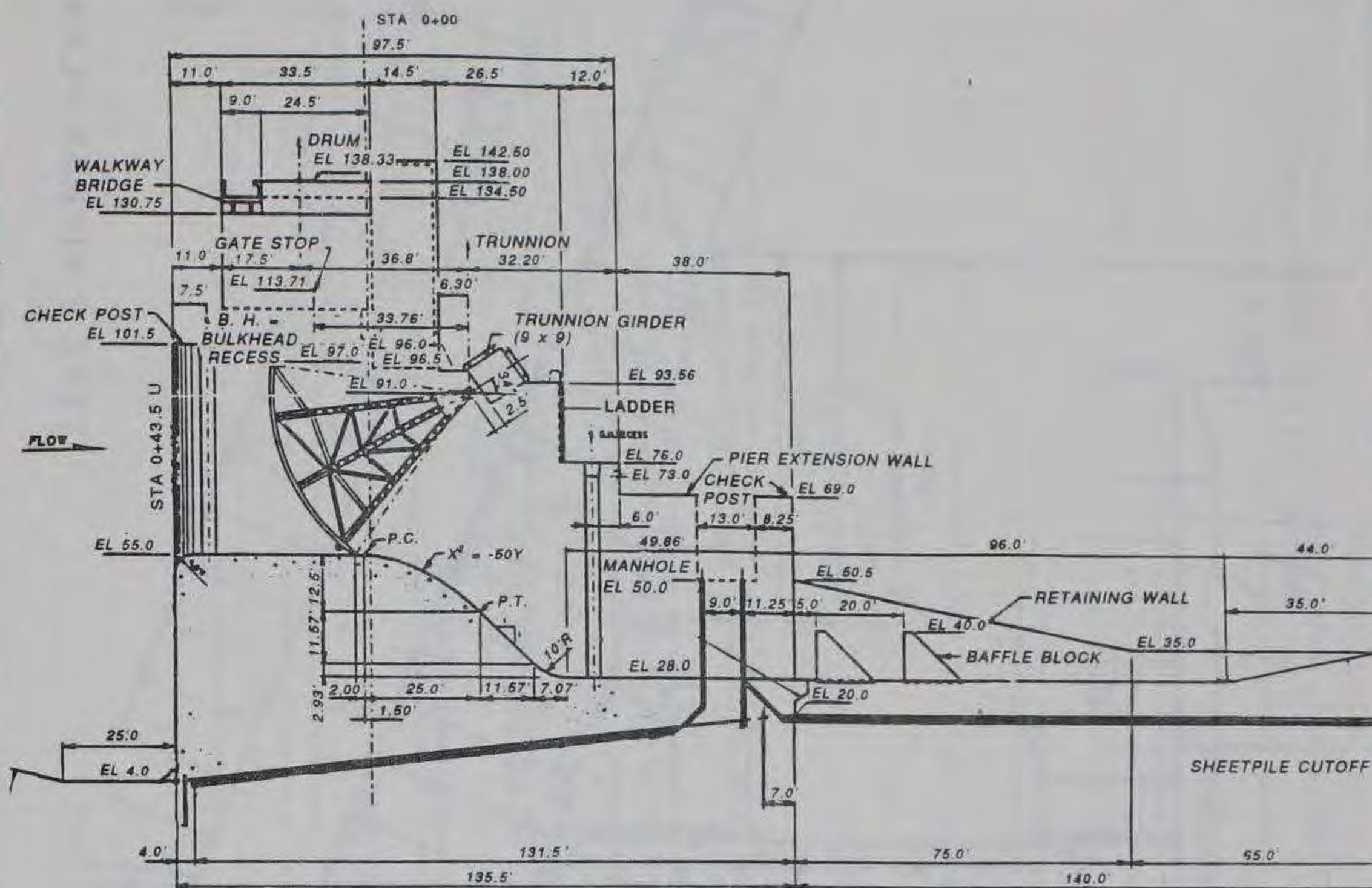
PIEZOMETER*		DISTANCE FROM CENTER LINE X, FT	PRESSURE FT OF WATER
NO.	EL		
1	55	-20.5	27.3
2	55	-1.5	8.0
3	54.8	1.5	2.9
4	54.5	3.5	-1.7
5	53.7	6.5	-6.0
6	53.0	8.5	-4.7
7	51.6	11.5	-4.6
8	50.5	13.5	-2.5
9	48.5	16.5	3.6
10	47.0	18.5	8.0
11	44.4	21.5	17.7
12	42.5	23.5	24.5
13	38.2	27.9	32.3
14	31.0	38.9	46.2
15	31.0	48.9	41.6

\* PIEZOMETERS LOCATED ALONG GATE CENTER LINE

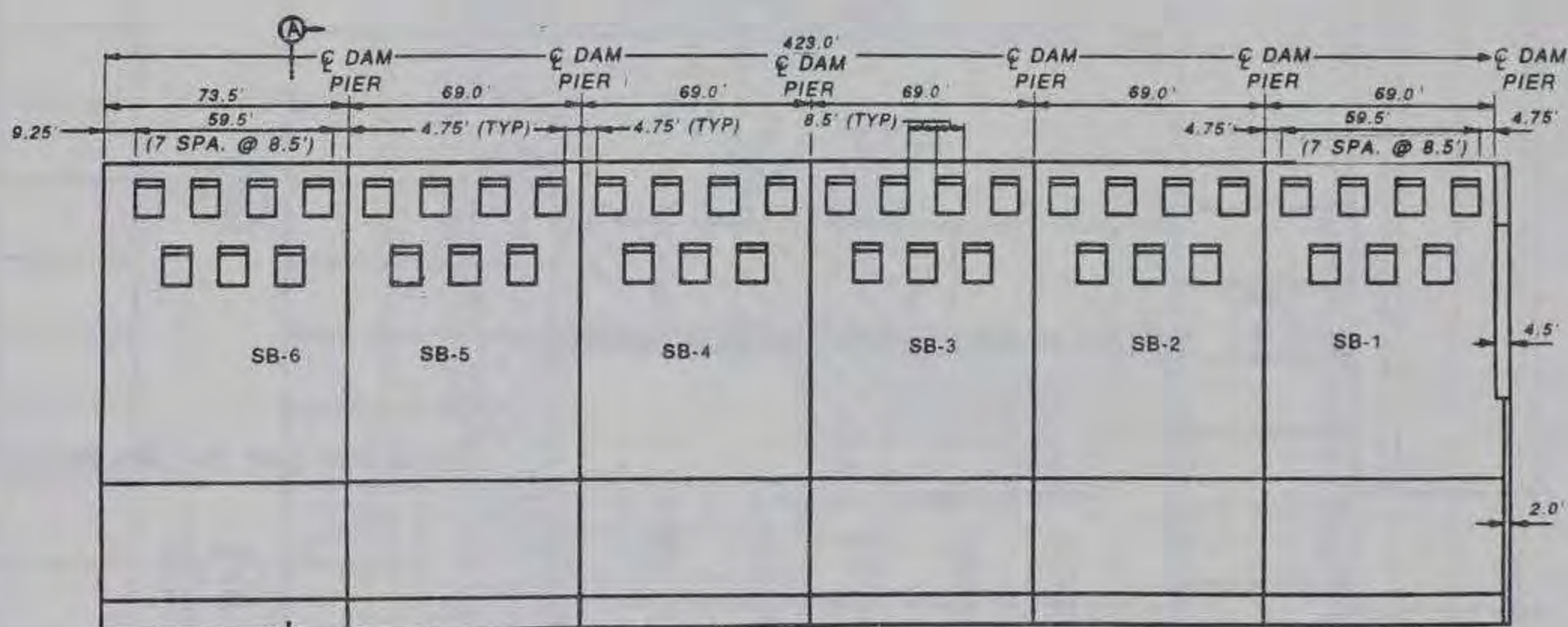
NOTE: CHANNEL CONTROL IN MODEL  
PIEZOMETERS 3-12 FOLLOW THE EQUATION  
 $X^2 = -50y$

SPILLWAY CREST PRESSURES  
TYPE 1 DESIGN SPILLWAY  
GATE NO. 6 FULLY OPEN  
DISCHARGE 51,000 CFS  
POOL EL 97  
TAILWATER EL 67.0 (MIN)





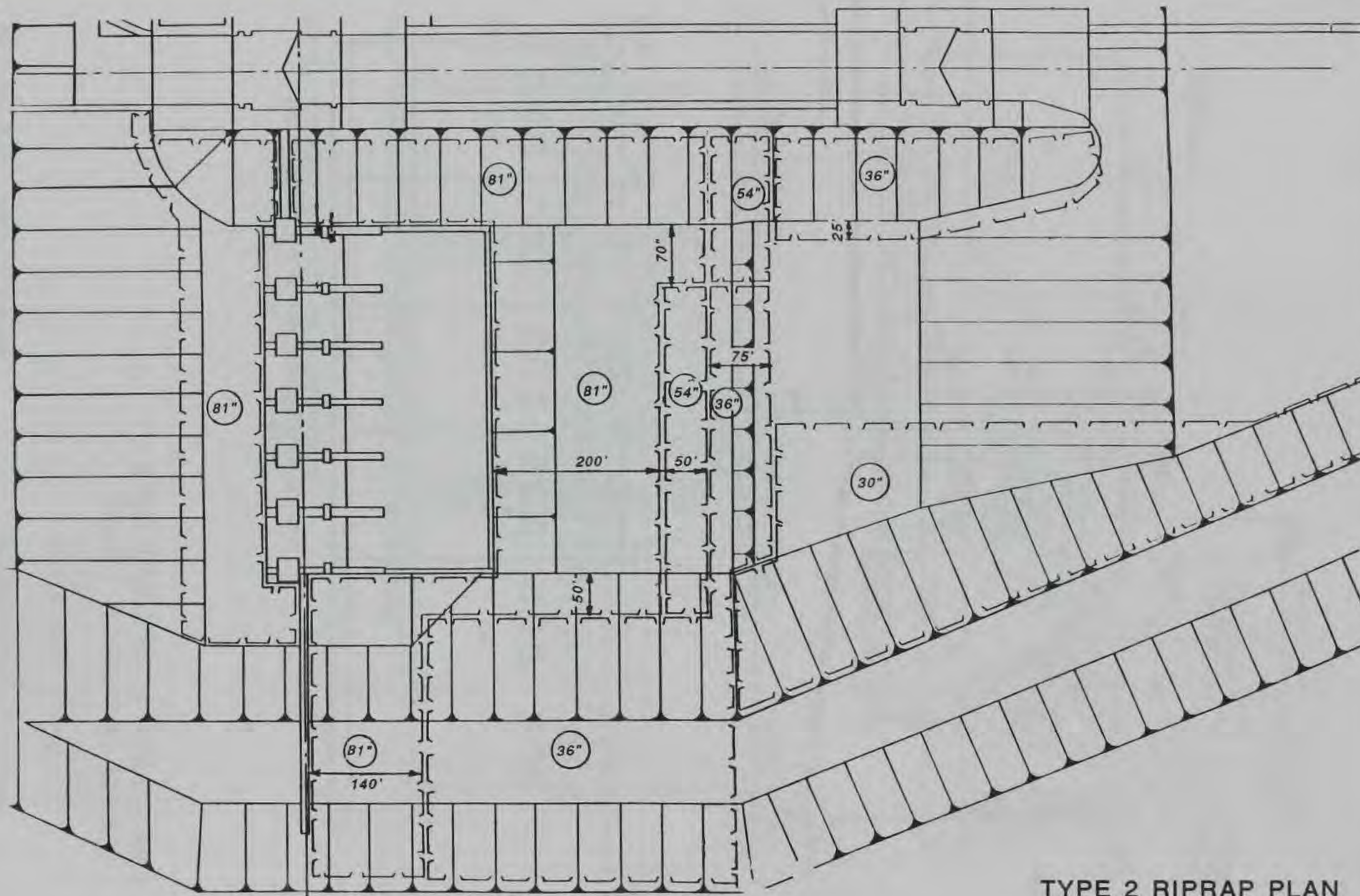
ELEVATION



STILLING BASIN  
SCALE  
20 0 20 FT.

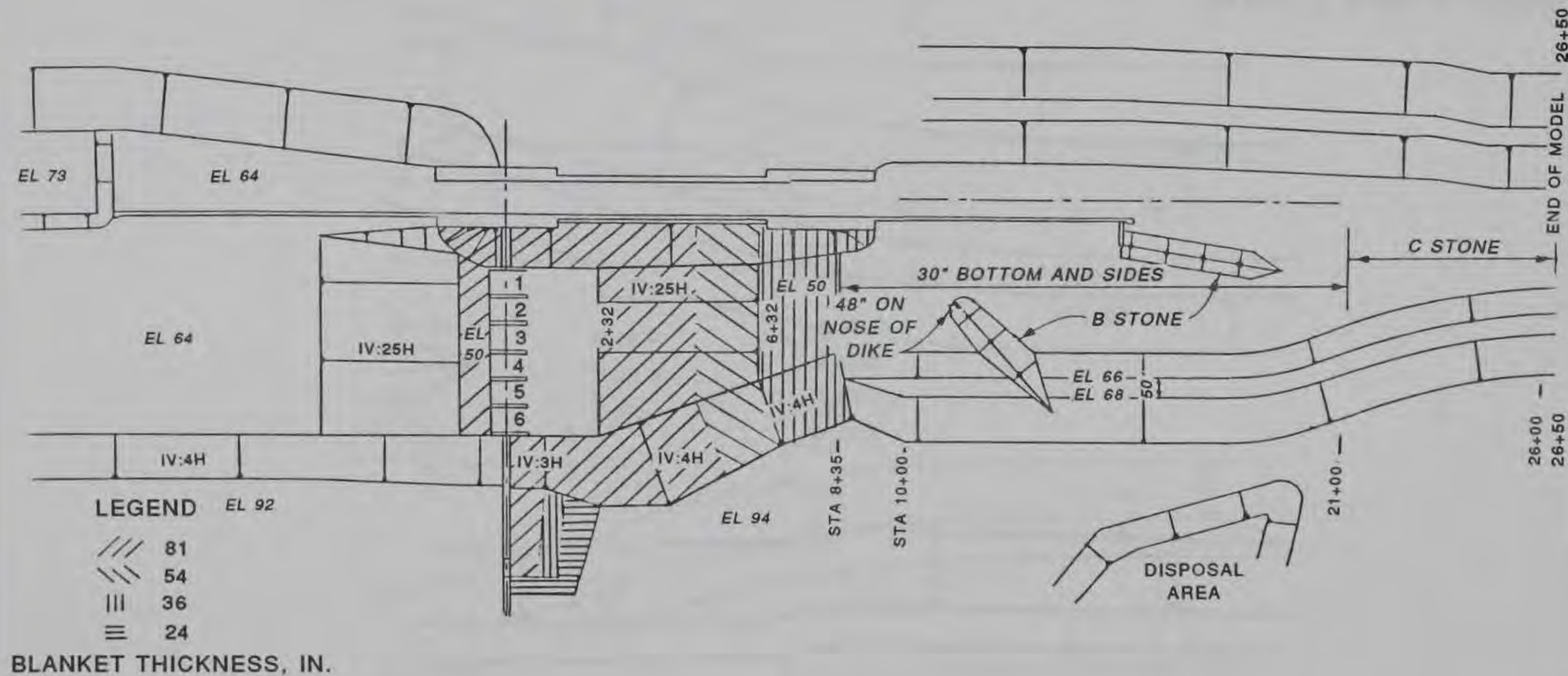
TYPE 2 STILLING BASIN





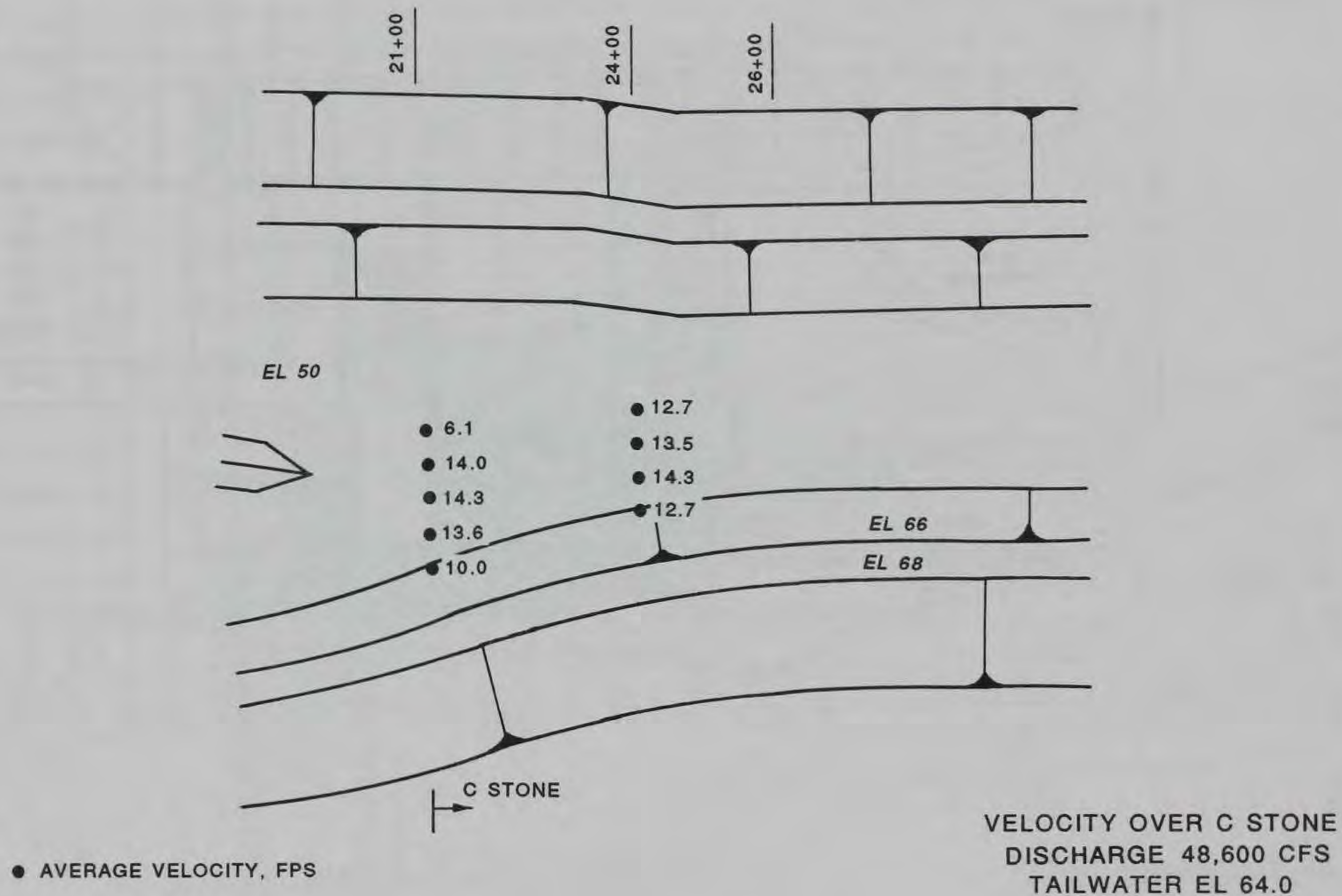
TYPE 2 RIPRAP PLAN



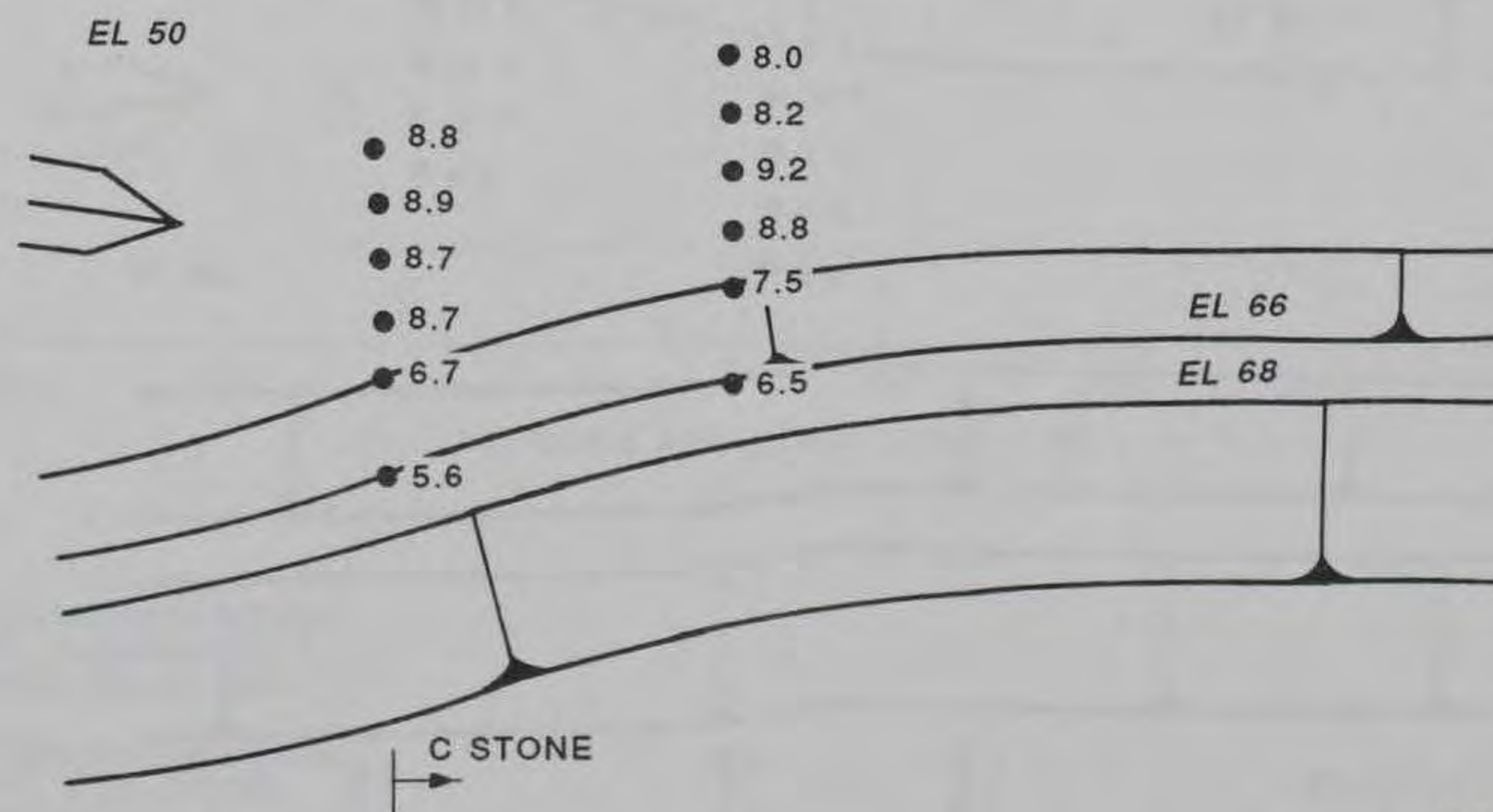
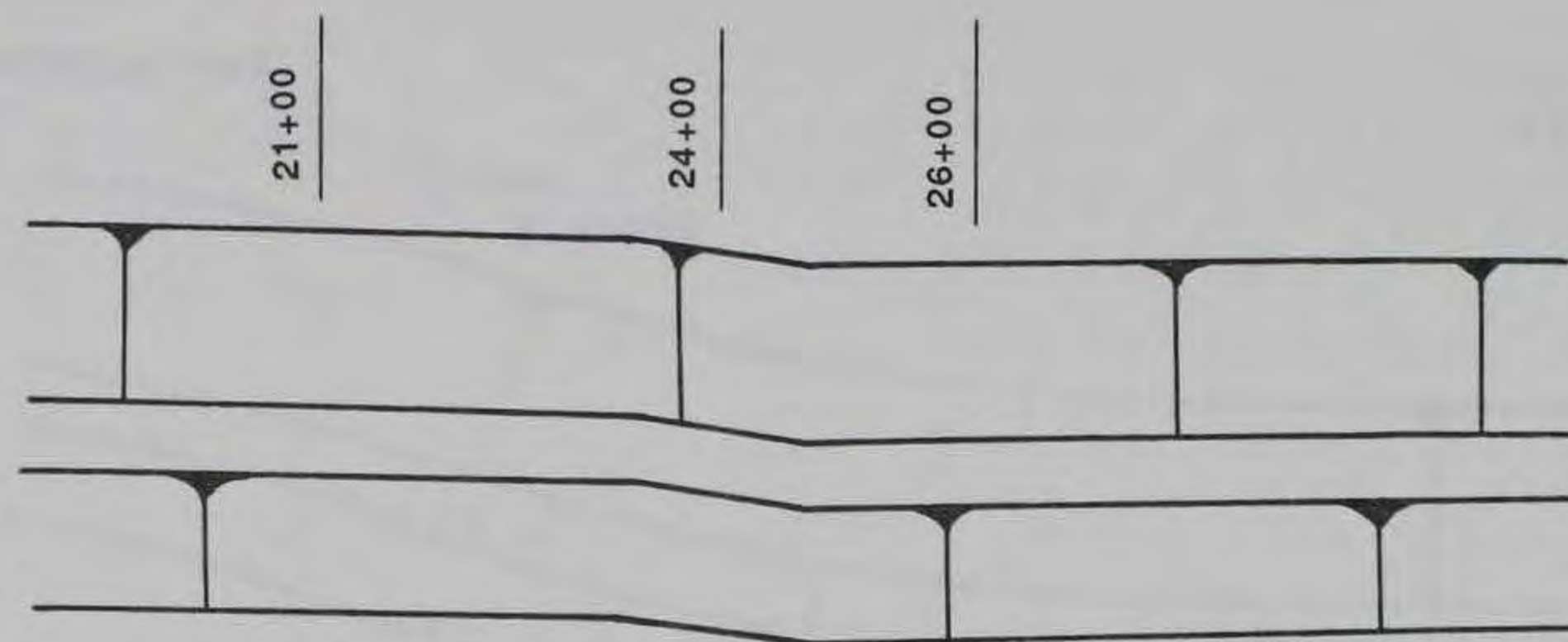


TYPE 3  
RIPRAP PLAN (RECOMMENDED)





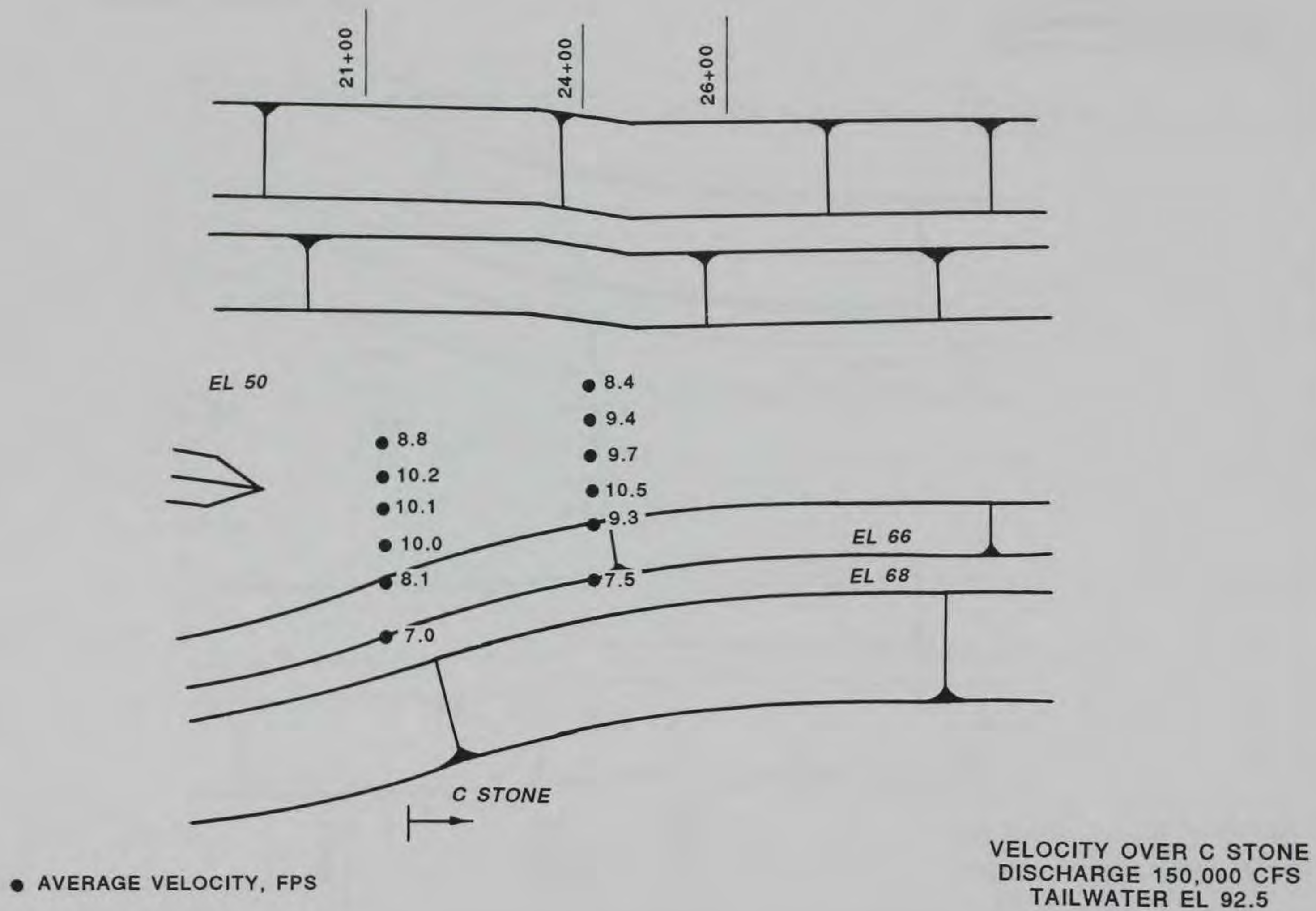




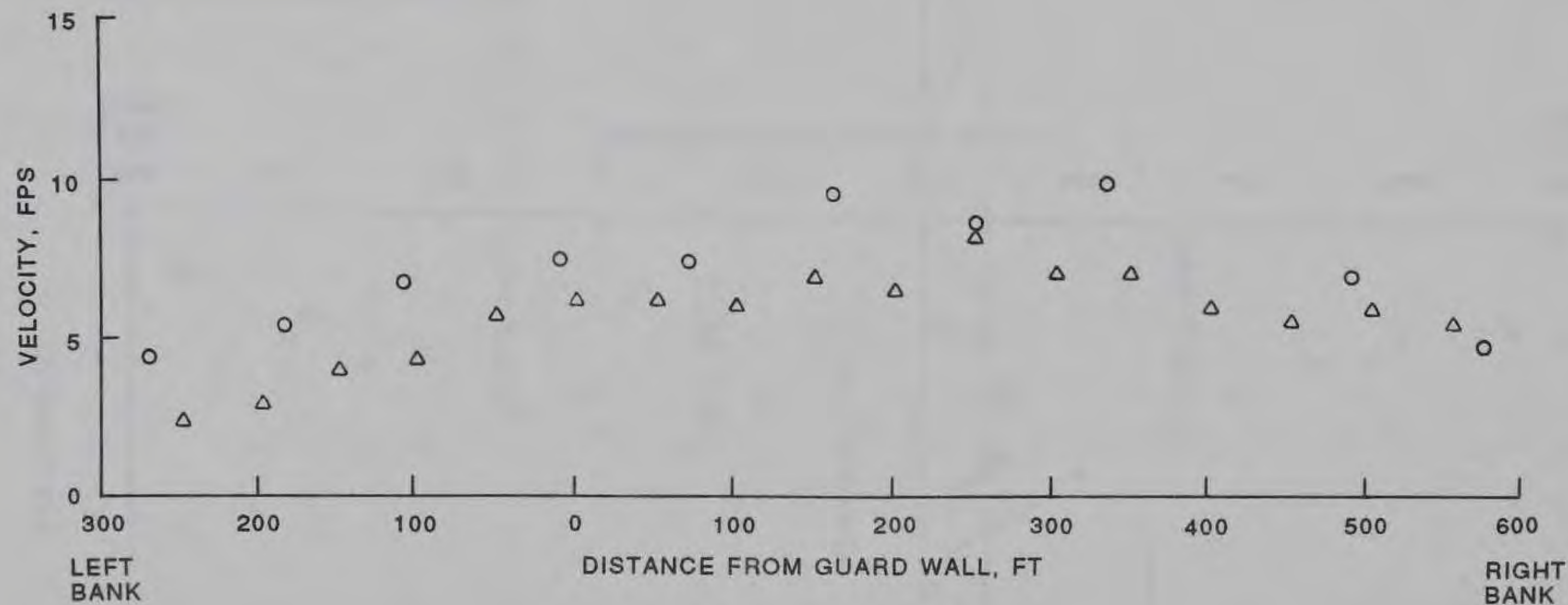
● AVERAGE VELOCITY, FPS

VELOCITY OVER C STONE  
DISCHARGE 100,000 CFS  
TAILWATER EL 85.1





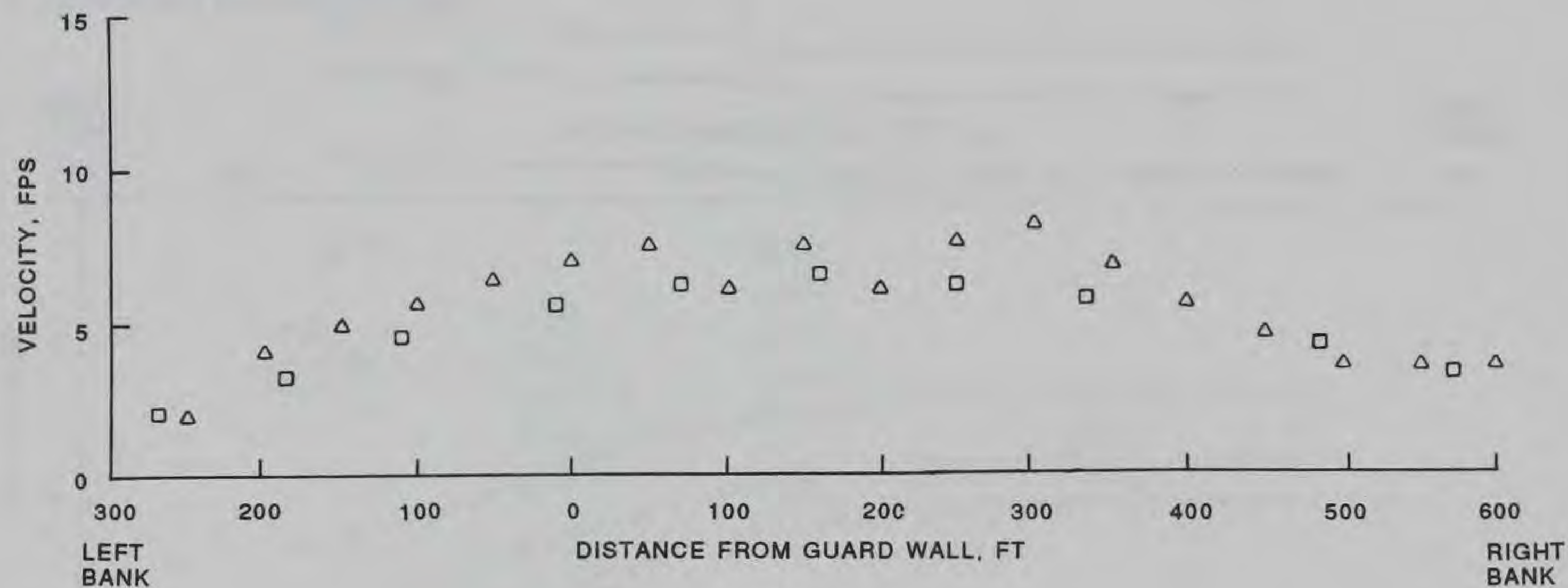




- 1:100-SCALE NAVIGATION MODEL  
(PLAN G) FLOAT SUBMERGED TO  
8 FT, DISCHARGE 142,000 CFS
- △ 1:50-SCALE STRUCTURES MODEL  
POINT VELOCITY 8 FT BELOW  
SURFACE, DISCHARGE 145,000 CFS

VELOCITY DISTRIBUTIONS  
STA 12+00 WITH BERM  
NAVIGATION AND STRUCTURES  
MODELS

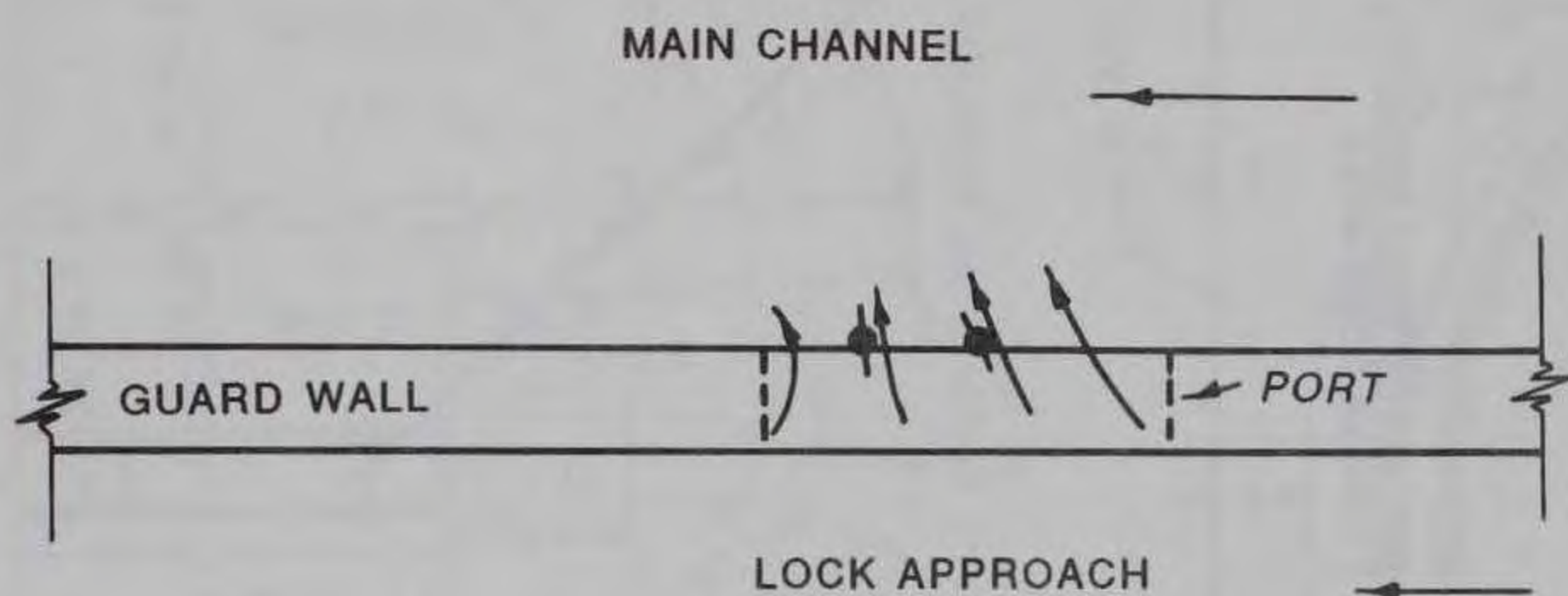




- △ 1:50-SCALE STRUCTURES MODEL,  
POINT VELOCITY 8 FT BELOW  
SURFACE
- RED RIVER NO. 2 NUMERICAL MODEL,  
DEPTH-AVERAGED VELOCITIES

VELOCITY DISTRIBUTIONS  
STA 12+00 WITHOUT BERM  
STRUCTURES MODEL AND RED  
RIVER NO. 2 NUMERICAL MODEL  
DISCHARGE 145 CFS



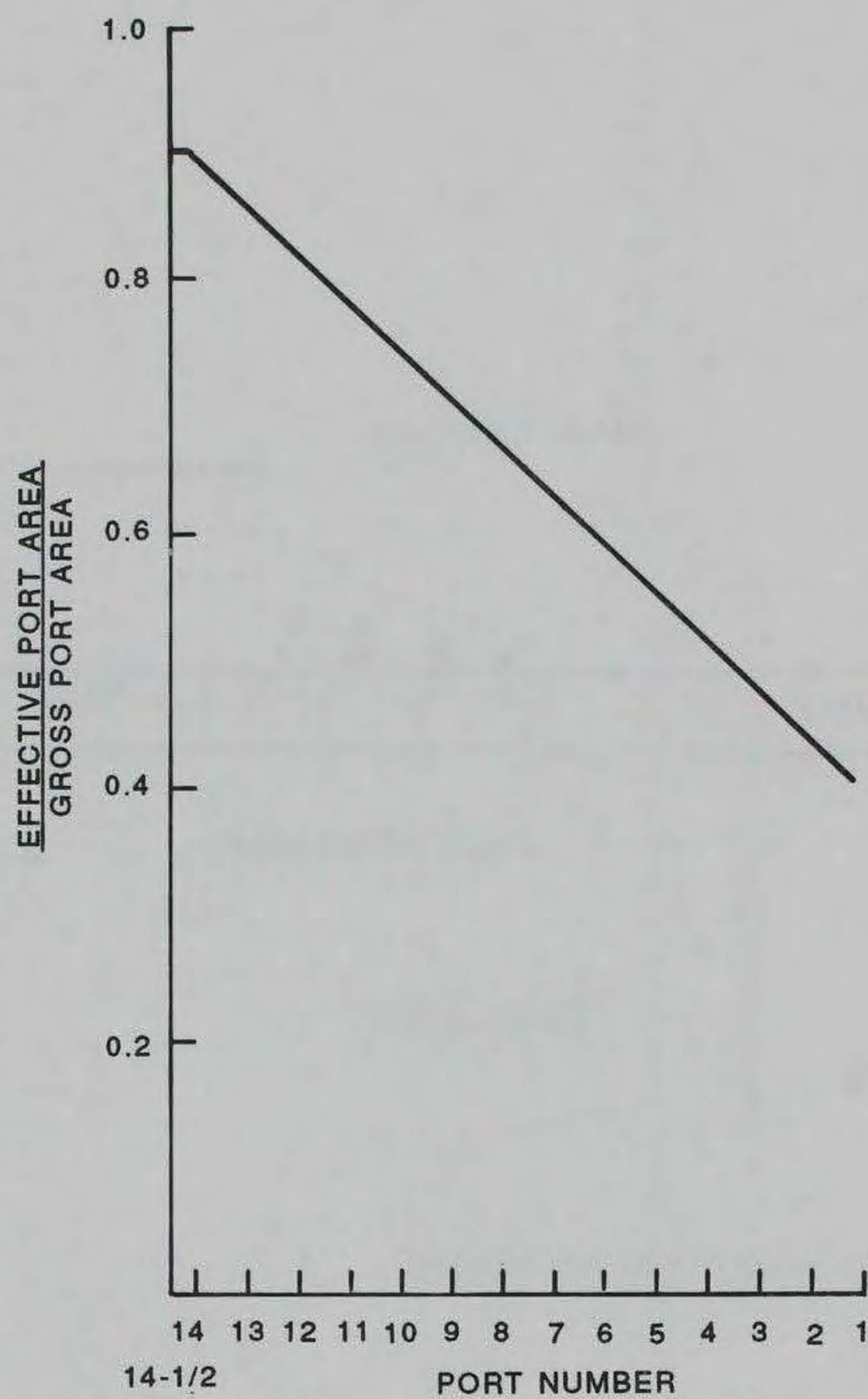


PLAN VIEW

● = LOCATION OF VELOCITY MEASUREMENT

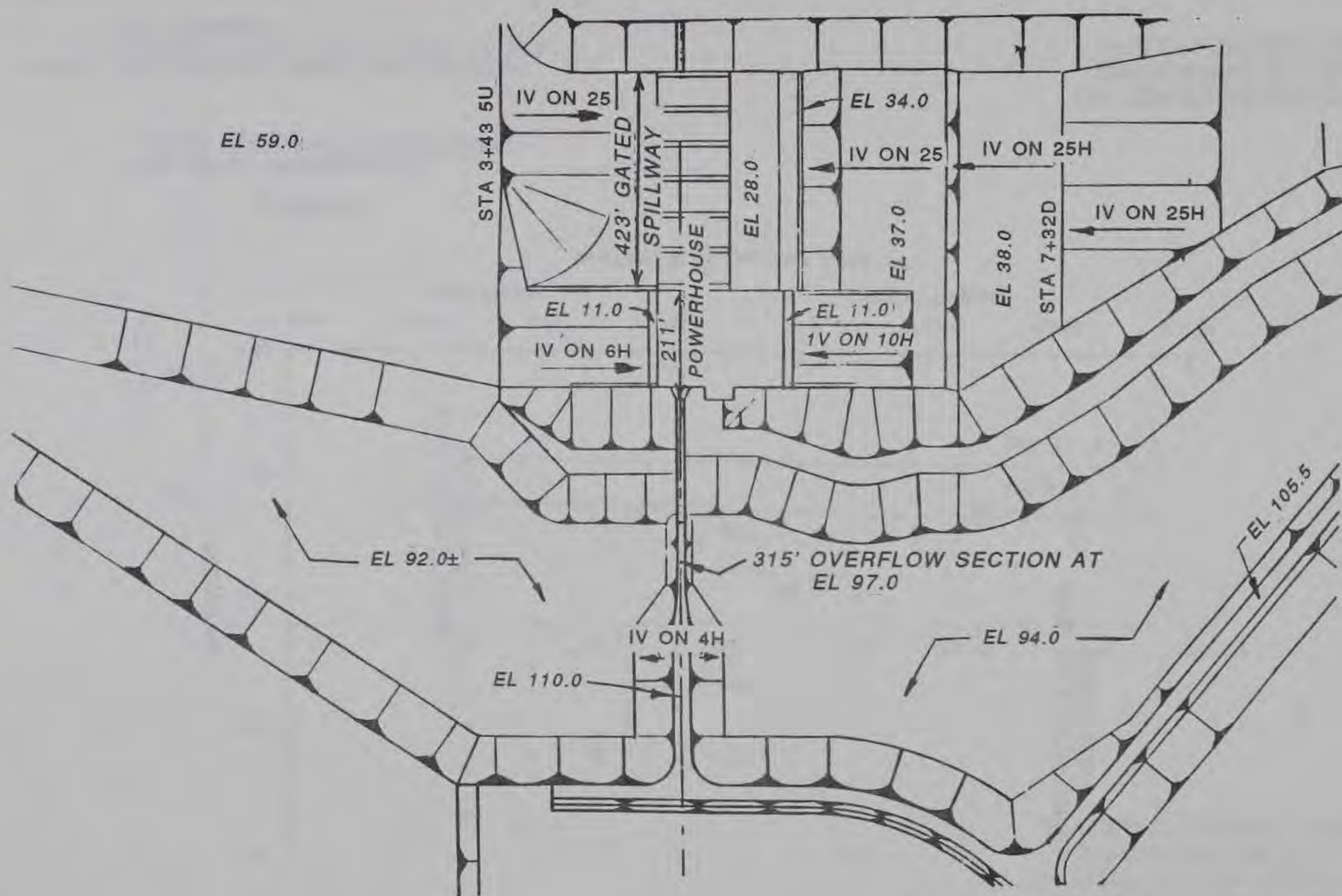
FLOW LINES THROUGH  
UPSTREAM PORTED  
GUARD WALL





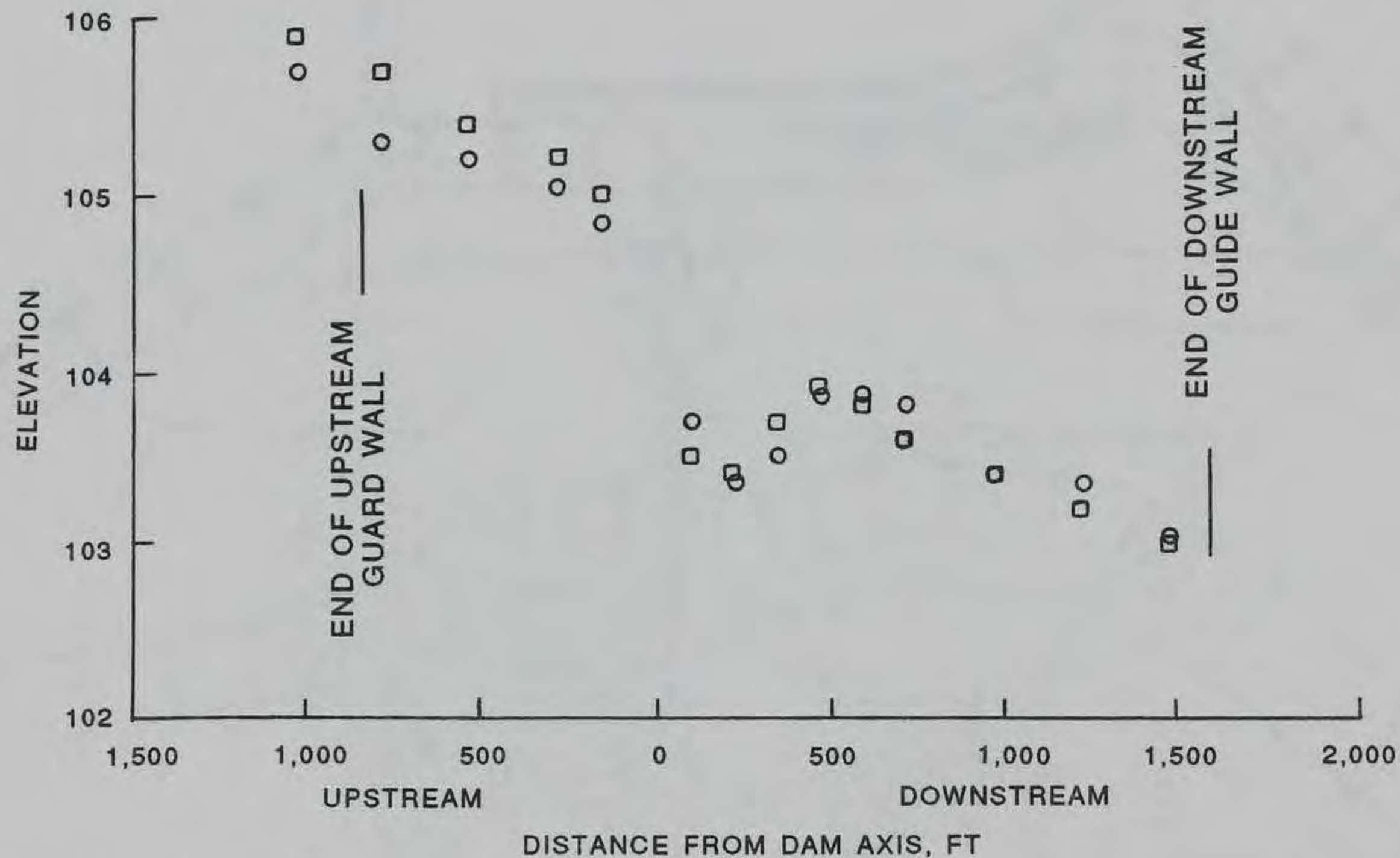
EFFECTIVE PORT AREA FOR UPSTREAM  
PORTED GUARD WALL





PLAN WITH THREE-UNIT POWERHOUSE





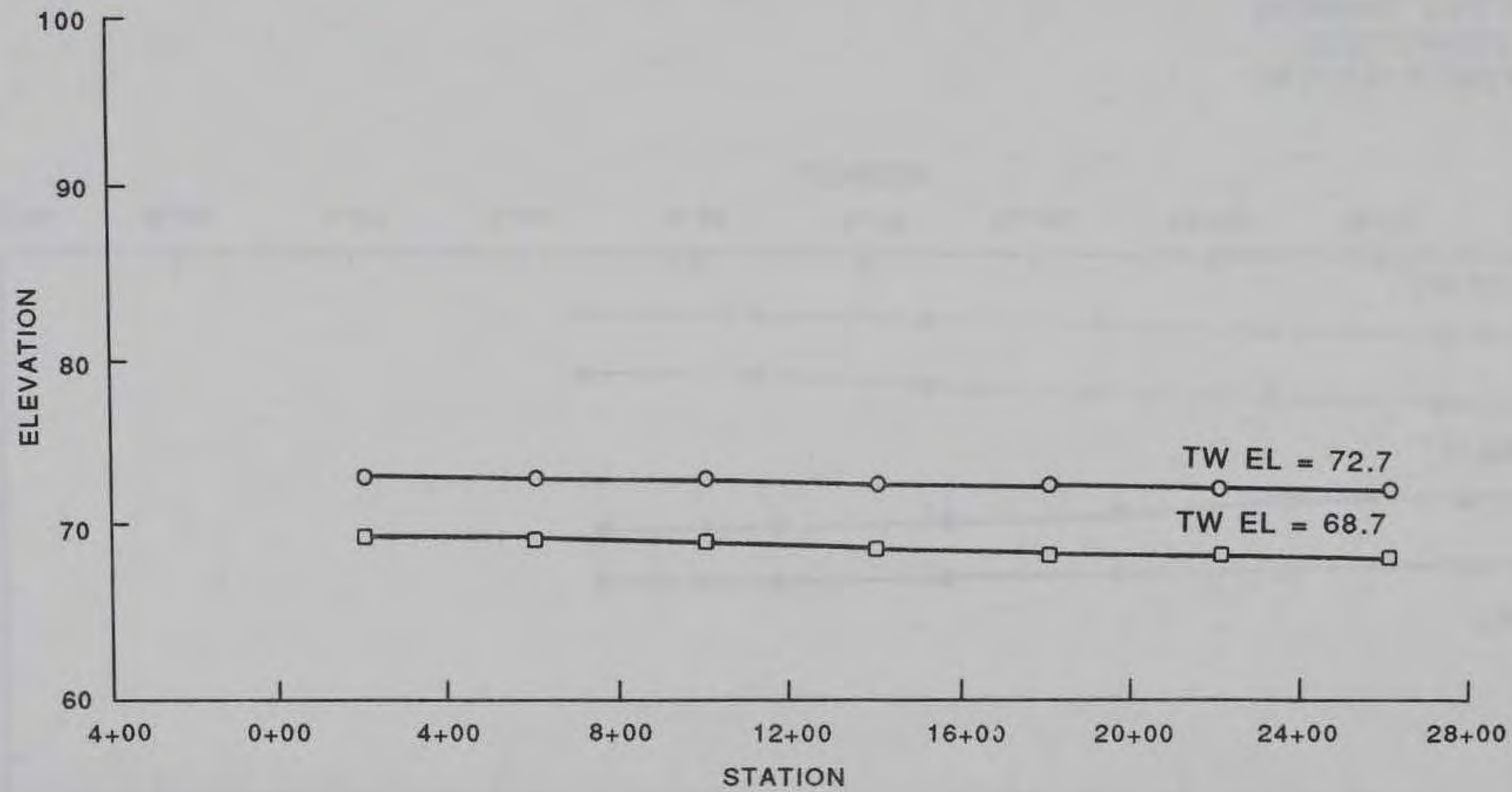
LEGEND

- WITHOUT POWERHOUSE
- WITH THREE-UNIT POWERHOUSE

NOTE: THE PROFILES WERE TAKEN BEFORE  
SEDIMENT DIKES WERE ADDED TO THE  
EXIT CHANNEL

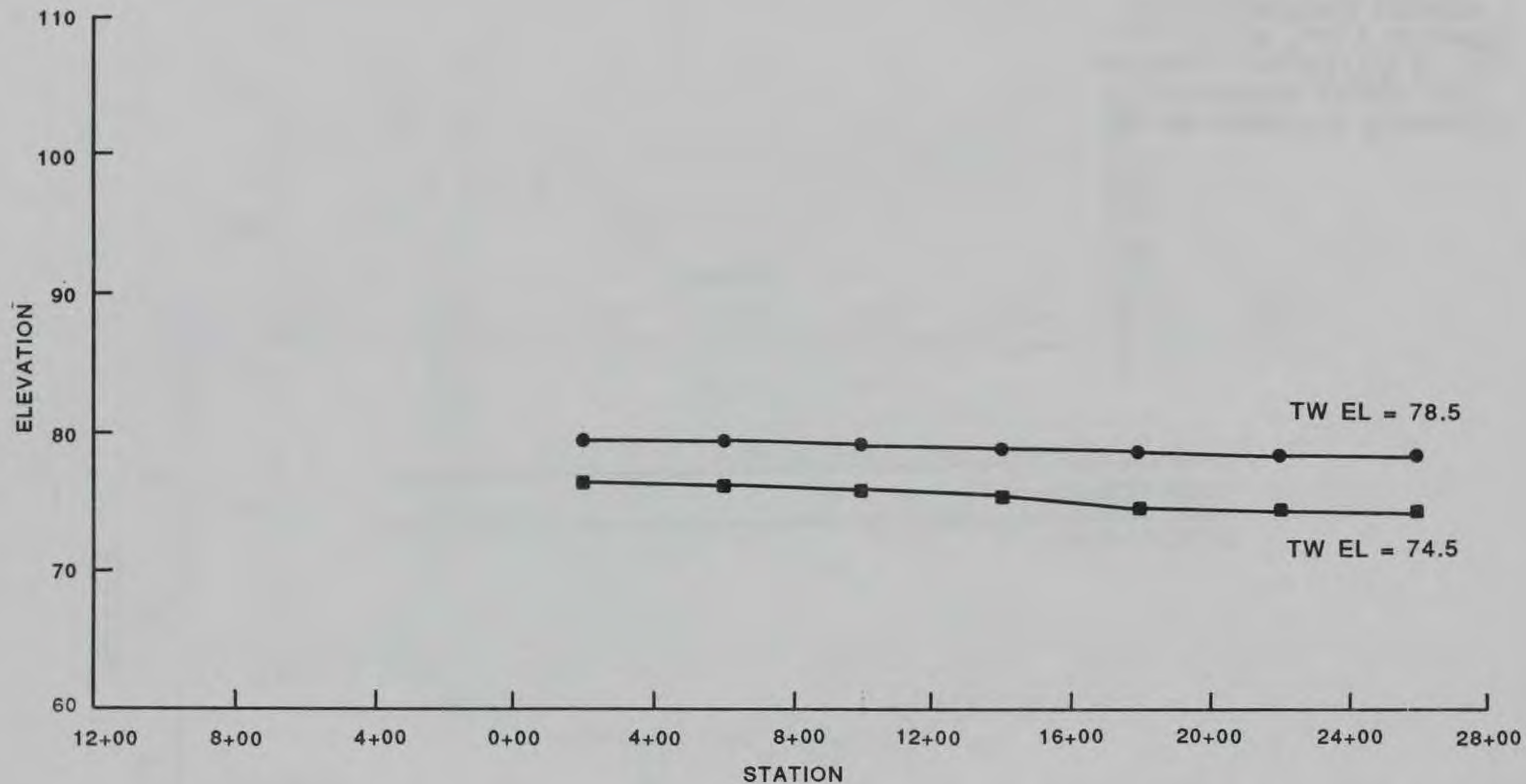
WATER-SURFACE PROFILES  
DISCHARGE 248,600 CFS  
FULLY OPENED GATES





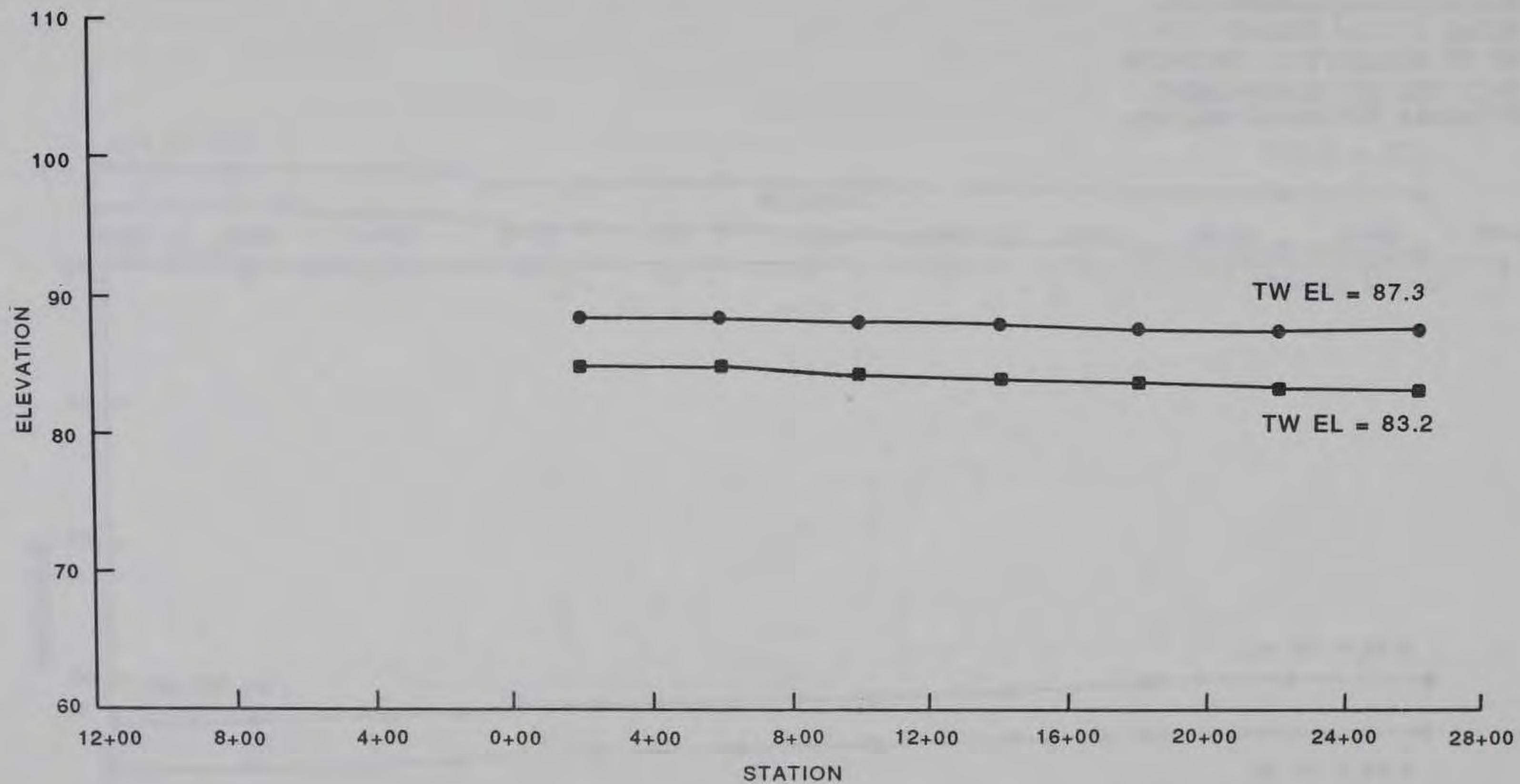
WATER-SURFACE PROFILES  
DISCHARGE 25,000 CFS  
NORMAL TAILWATER EL 70.7  
ALL GATES FULLY OPENED  
RECOMMENDED DESIGN





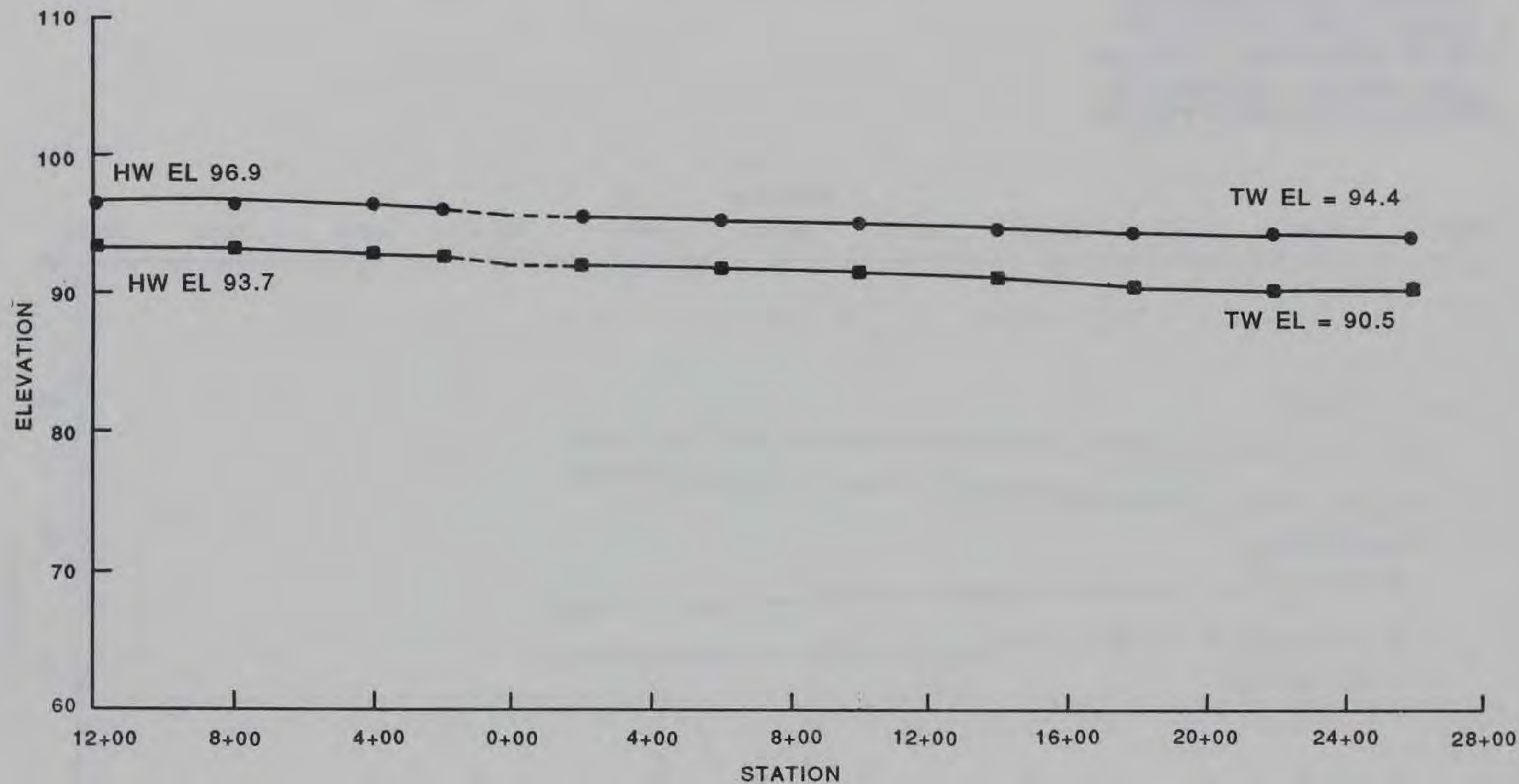
WATER-SURFACE PROFILES  
 DISCHARGE 50,000 CFS  
 NORMAL TAILWATER EL 76.5  
 ALL GATES FULLY OPEN  
 RECOMMENDED DESIGN





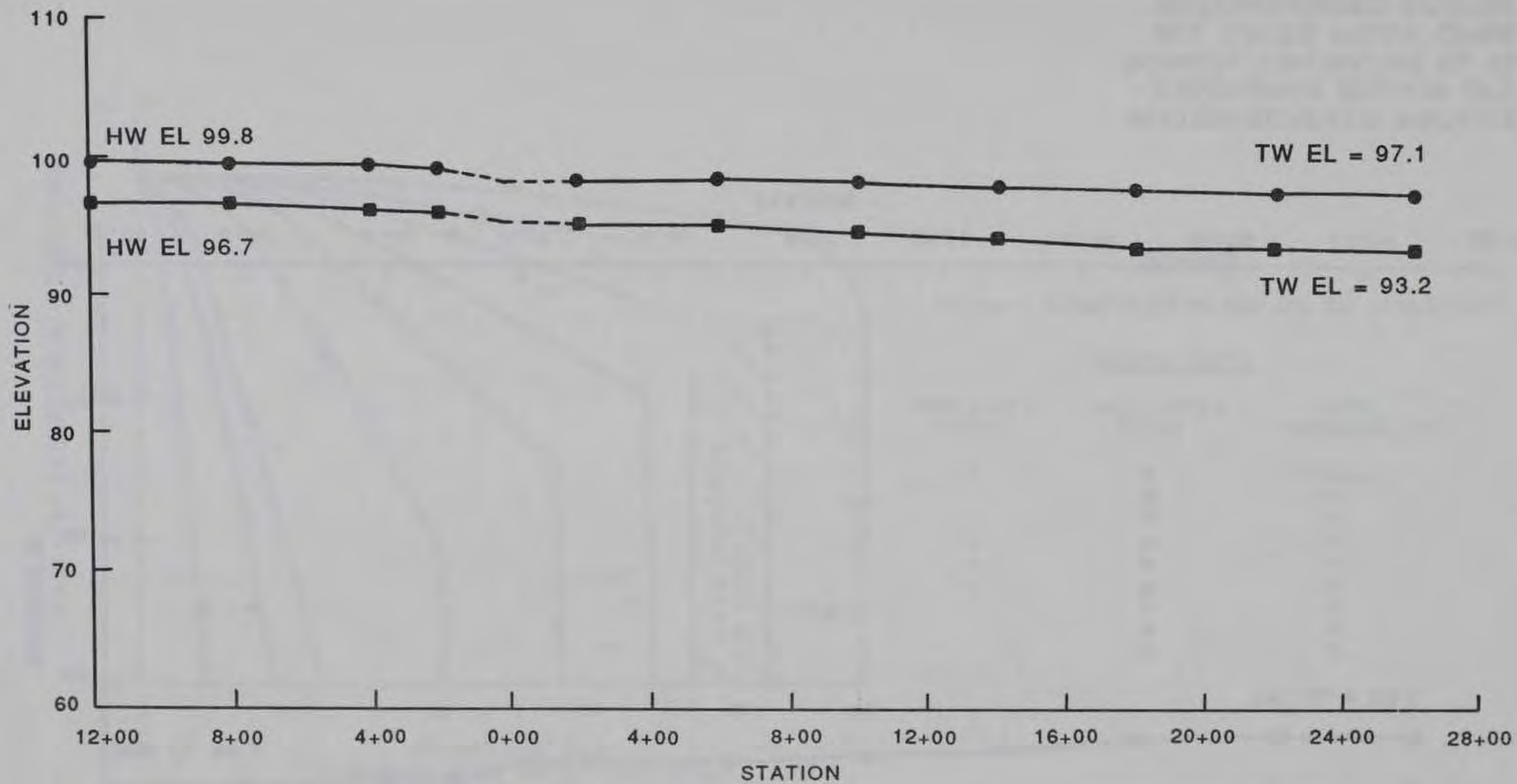
WATER-SURFACE PROFILES  
DISCHARGE 100,000 CFS  
NORMAL TAILWATER EL 85.1  
ALL GATES FULLY OPEN  
RECOMMENDED DESIGN





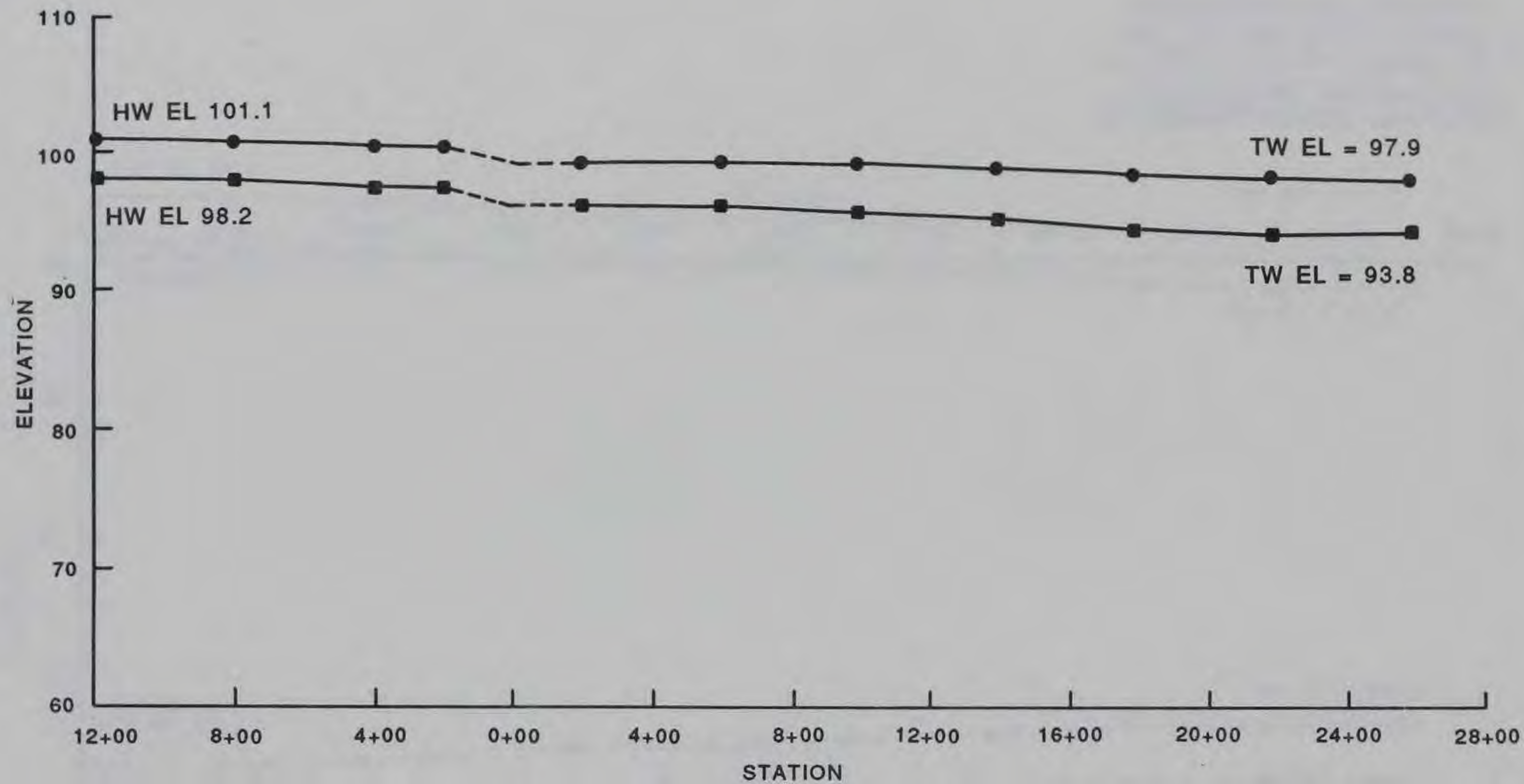
WATER-SURFACE PROFILES  
 DISCHARGE 150,000 CFS  
 NORMAL TAILWATER EL 92.4  
 ALL GATES FULLY OPEN  
 RECOMMENDED DESIGN





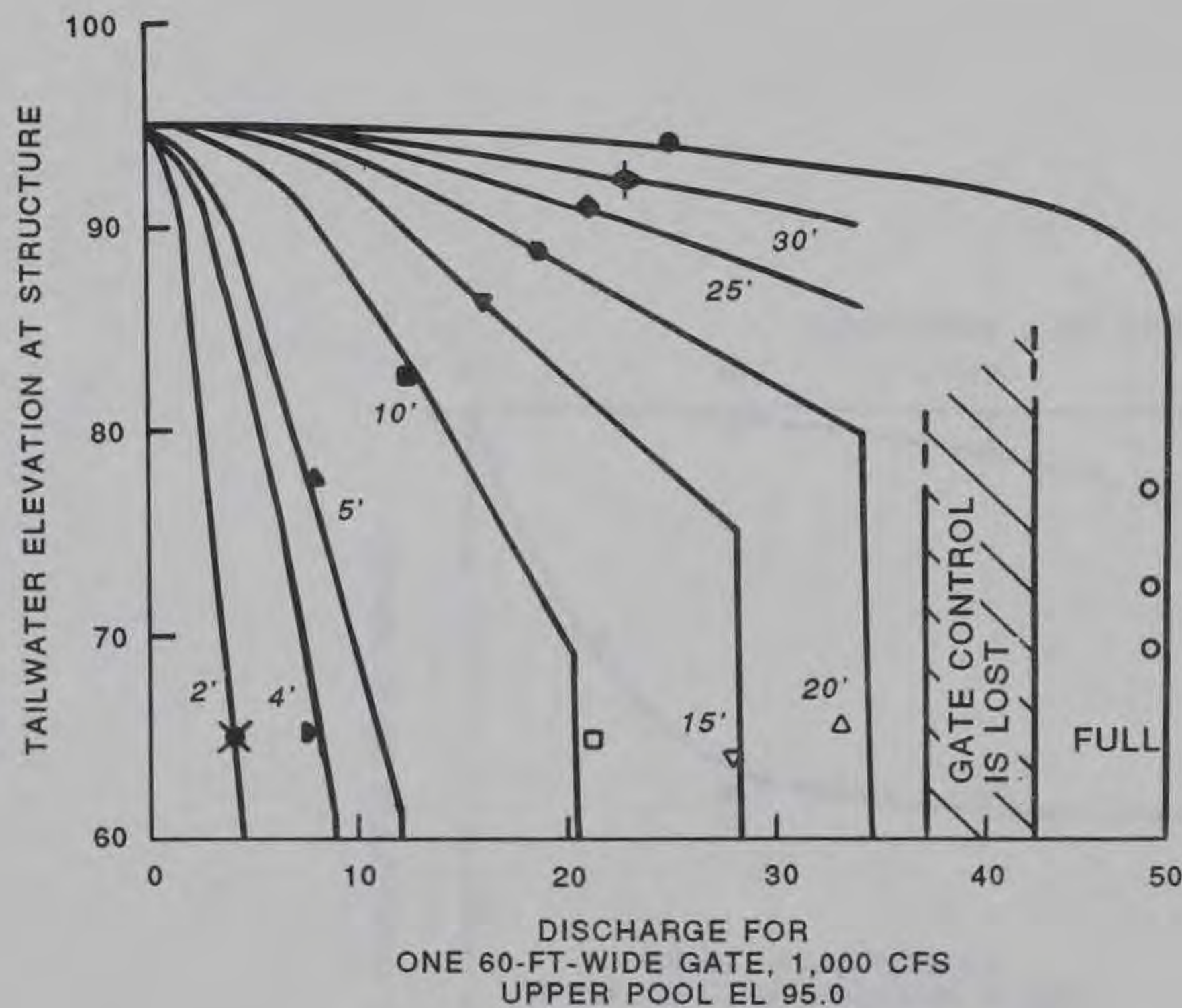
WATER-SURFACE PROFILES  
DISCHARGE 175,000 CFS  
NORMAL TAILWATER EL 95.6  
ALL GATES FULLY OPEN  
RECOMMENDED DESIGN





WATER-SURFACE PROFILES  
 DISCHARGE 200,000 CFS  
 NORMAL TAILWATER EL 98.3  
 ALL GATES FULLY OPEN  
 RECOMMENDED DESIGN





### LEGEND

— COMPUTED BASED ON EM 1110-2-1605

### MODEL DATA

ONE GATE  
OPEN

○

△

▽

□

ALL GATES  
OPEN

●

◆

●

●

▽

■

▲

●

●

★

GATE  
OPENING, FT

FULL

30

25

20

15

10

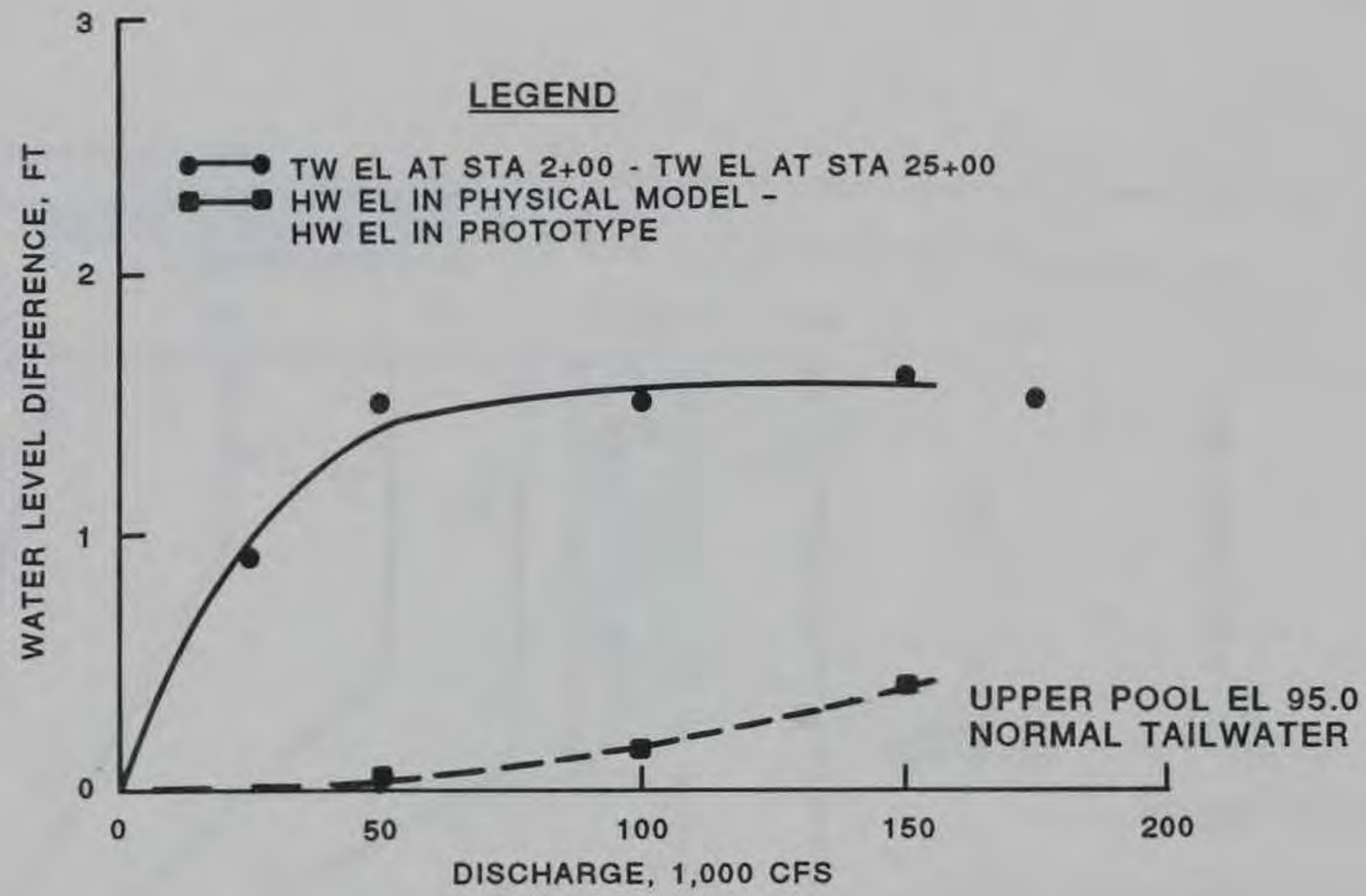
5

4

2

RATING CURVES  
FOR ONE TAITER GATE





RELATIONSHIP BETWEEN DISCHARGE  
AND DIFFERENCES IN WATER LEVEL